

1 **Factors associated with participation in life situations for adults with stroke: a systematic**
2 **review.**

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4 **Keywords** stroke, community participation, social support, activities of daily living, depression,
5 cognitive dysfunctions, and chronic disease.

6 **Abstract**

7 **Objectives:** To identify biopsychosocial factors associated with participation outcomes for adults
8 with stroke and to investigate factors associated with participation at different time points post
9 stroke.

10 **Data sources:** Medline, CINAHL, AMED, PyschINFO and Web of Science were systematically
11 searched using key words “stroke”, “participation” and “outcomes” and their synonyms on 15th
12 May 2017.

13 **Study selection:** Observational studies reporting on biopsychosocial factors and participation
14 outcomes for community dwelling adults with stroke were selected. Studies were eligible for
15 inclusion if participation outcomes were measured using indices that mapped to the participation
16 domain of the ICF. Intervention studies were excluded. A second reviewer checked all studies
17 against eligibility criteria at each stage.

18 **Data extraction:** Data were extracted on any statistically determined association between
19 biopsychosocial factors and participation outcomes.

20 **Data synthesis:** The proportion of studies reporting significant associations with variables were
21 classified according to the ICF. The exact binomial test was used to determine the probability
22 that the proportion of studies reporting significant associations was due to chance alone.
23 Qualitative descriptive summaries of each study allowed consideration of interactions between
24 variables and changes in participation over time points.

25 **Conclusions:** Whilst depressive symptoms, cognitive functioning and mobility were found to
26 have the strongest associations with participation, we found that other frequently occurring
27 factors (such as fatigue and environmental factors) were less extensively considered. The
28 diversity of outcome measures encountered within the review highlight the need for a consensus
29 on a core set of outcome measures to evaluate long term participation in life situations after
30 stroke.

31 **Keywords:** stroke, participation

32 **Abbreviations:** International classification of functioning (ICF). Cumulative Index of Nursing
33 and Allied Health Literature (CINAHL), Allied and Complimentary Medicine Database
34 (AMED).

35 **Introduction**

36 Advances in the prevention and management of stroke mean that more people are surviving and
37 living with the long-term consequences of stroke¹. Moreover, the number of people experiencing
38 stroke at a younger age is increasing^{2,3} resulting in a considerable lifetime impact of stroke,

39 particularly around productivity and work⁴. These changes have been described as an
40 epidemiological shift towards stroke becoming a long-term health condition⁵.

41 There is considerable evidence that those surviving stroke experience difficulties retaining
42 previous levels of participation in social, community, work and leisure activities³. Personal,
43 environmental and stroke related factors have been reported as potential barriers to resuming
44 participation in life roles one year after stroke⁶. The resulting restrictions in social and
45 community participation are strongly associated with lower quality of life⁷.

46 Many overlapping terms are used to describe social and community participation⁸. The
47 International Classification of Functioning (ICF) provides a taxonomy of activities and
48 participation: where activities reflect performance at an individual level and participation in life
49 situations reflects performance at a societal level⁹⁻¹¹. For the purpose of this review, participation
50 was operationalized using the ICF chapters 6 (domestic life), 7 (interpersonal interactions and
51 relationships), 8 (major life areas) 9 (community social and civic life)^{12 13}.

52 Participation in life situations is potentially modifiable even when there is no further recovery in
53 body functions and is therefore an important concept to consider for those living with long term
54 health conditions¹⁴. Considering that participation in life situations is an important outcome of
55 stroke rehabilitation,¹⁵ there is surprisingly limited evidence of effective interventions for
56 reducing participation restrictions in the longer term^{3, 16, 17}. There is considerable literature
57 investigating factors associated with participation after stroke, but this hasn't sufficiently
58 informed the use of participation measures within intervention studies and participation
59 outcomes remain underutilised^{18 19, 20}. Synthesis of the available evidence could inform the

60 development of more comprehensive approaches to improve participation outcomes for stroke
61 survivors. Therefore, the aims of this study are:

- 62 • to identify biopsychosocial factors associated with or determining participation outcomes
63 for adults with stroke
- 64 • to investigate associations with participation at different time points post stroke.

65 *Methods*

66 *Search strategy and Selection criteria.* This systematic review was registered with
67 PROSPERO²¹ and is reported following PRISMA guidelines²².

68 We searched for and included studies involving stroke patients that met the following criteria:

- 69 • community dwelling stroke survivors (all strokes) aged 18 and over. Studies with mixed
70 populations were included if 90% of participants had stroke
- 71 • observational studies
- 72 • investigated biopsychosocial factors associated with participation outcomes
- 73 • written in English.

74 Intervention studies were excluded.

75 We searched Medline, CINAHL, AMED, Psych INFO and Web of Science on 1st January 2015
76 (updated on 17th May 2017). The literature search was not limited by date; results dated back to
77 1946. We used keywords ‘stroke’, ‘participation’, ‘measures’ and their associated synonyms and
78 terms (please see supplementary appendix I). We hand searched three most cited journals from
79 our eligible studies between May 2016 and May 2017. Additional studies were located through
80 reference lists of eligible studies.

81 The primary outcome of interest was participation in life situations. An initial list of measures
82 relevant to stroke was collated from literature that had evaluated psychometric properties and
83 mapped participation instruments to the ICF ²³⁻²⁶ .

84 Two reviewers then independently mapped the content of each measure to chapters six to nine of
85 the activity and participation domain of the ICF (domestic life, interpersonal interactions and
86 relationships, major life areas, community social and civic life). Learning and applying
87 knowledge, general tasks and demands, communication, self-care and mobility were not included
88 ²⁷. This was to ensure that participation was the primary focus of each measure. Participation
89 outcomes were included if fifty percent or more of the questions in the measure mapped to
90 chapters six to nine. Differences in reviewers' assessments were discussed until agreement was
91 reached.

92 A total of 24 measures were reviewed and 14 were eligible for inclusion (table 1). Interrater
93 agreement was very good (Cohens kappa 0.81, 95% CI. 0.47-0.69). All included measures were
94 standardized questionnaires. It is beyond the scope of this review to report on psychometric
95 properties.

96 ***Study selection process.*** Figure 1 summarises the selection process. A second reviewer (LF)
97 independently reviewed all studies against eligibility criteria at each stage. Any disagreements
98 were resolved through discussion between reviewers. Agreement was assessed using Cohen's
99 kappa. Inter-rater agreement of eligibility by abstracts was moderate (kappa 0.65, 95% CI.,0.58
100 to 0.73) ²⁸. Inter-rater agreement of eligibility by full text was also moderate (kappa 0.58 95% CI.
101 0.47 to 0.69).

102 **Data extraction.** Data were extracted on any statistically determined association between
103 participation outcomes and biopsychosocial variables under study. Where studies were reported
104 in more than one paper, data was extracted, pooled and treated as one study.

105 **Risk of bias in individual studies.** Risk of bias was assessed using the Quality Assessment Tool
106 for Observational and Cross-sectional studies^{29,30}. The tool provides a rating for low, fair or high
107 risk of bias. A second researcher reviewed (LP) ten per cent of the risk of bias assessments,
108 interrater agreement of risk of bias was moderate (kappa 0.56, 95% CI 0.02-1). Risk of bias
109 assessments informed the interpretation of our findings, particularly where studies reported
110 anomalous results.

111 **Analysis.** Variables investigated were grouped (by LE) according to the ICF classification³¹:
112 contextual factors (personal or environmental factors), health condition (type of stroke, time
113 since stroke), stroke related impairments in body functions and structures (e.g. cognitive deficits,
114 movement deficits) and activity limitations (i.e. limitations in mobility or in daily activities)⁹.
115 For example, Fugl Meyer assessment of lower limb function was labelled as “control of
116 voluntary movement” from the ICF chapter “neuromusculoskeletal and movement functions”,
117 whereas the six-meter timed walk test was labelled as “walking and moving” from the ICF
118 chapter “mobility”. As the presence of depressive symptoms was determined by depression
119 scales, it was mapped to “emotional functions” within the ICF chapter “mental functions”, rather
120 than assuming the presence of depression as a health condition³¹.

121 For each study, we determined which factors had a significant association ($p < 0.05$) with
122 participation outcomes. In longitudinal studies with multiple data time points, we counted each
123 association once. We then used an exact binomial test to calculate the probability that the

124 observed proportion of studies reporting associations deviated from the expected proportion by
125 chance alone (assuming that there was no association and no publication bias)^{32,33}. The expected
126 proportion of studies finding an association with $p < 0.05$, would be 0.05³⁴. We also determined
127 whether studies were adequately powered to detect a weak association (correlation of 0.2), alpha
128 at 0.05 (two tailed), and power of 0.8. Factors which were investigated once only were not
129 included in the binomial test analysis (please see supplementary appendix IV).

130 Descriptive summaries of the results of each study were coded and analyzed for evidence of
131 interactions between biopsychosocial factors within each study³⁵. Findings from cohort studies
132 were summarized by time points to provide a descriptive summary of how factors associated
133 with participation outcomes changed over time.

134 ***Results***

135 In total, 92 papers (reporting on 81 studies) were eligible for inclusion (figure 1).

136 ***Study Characteristics.*** Thirty-three of the studies in the review were cross-sectional and
137 collected data from participants who were from three months to 31 years post stroke. Forty-two
138 were prospective cohort studies and seven were retrospective cohort studies. Of the cohort
139 studies, 11 studies assessed participants' outcomes at multiple time points, ranging from three
140 months³⁶ to six years post stroke³⁷. The total number of study participants was 11,815. Studies
141 included people from 18 to 99 years old and stroke severity from minor to severe, residing at
142 home or in a care facility (please see supplementary appendix II). Fourteen participation
143 measures assessed aspects of participation in life situations (table 1). Studies employed a range
144 of statistical analyses including correlation, univariate and regression analysis.

145 **Table 1:** Outcomes measures mapped to Activity Participation domain of ICF.

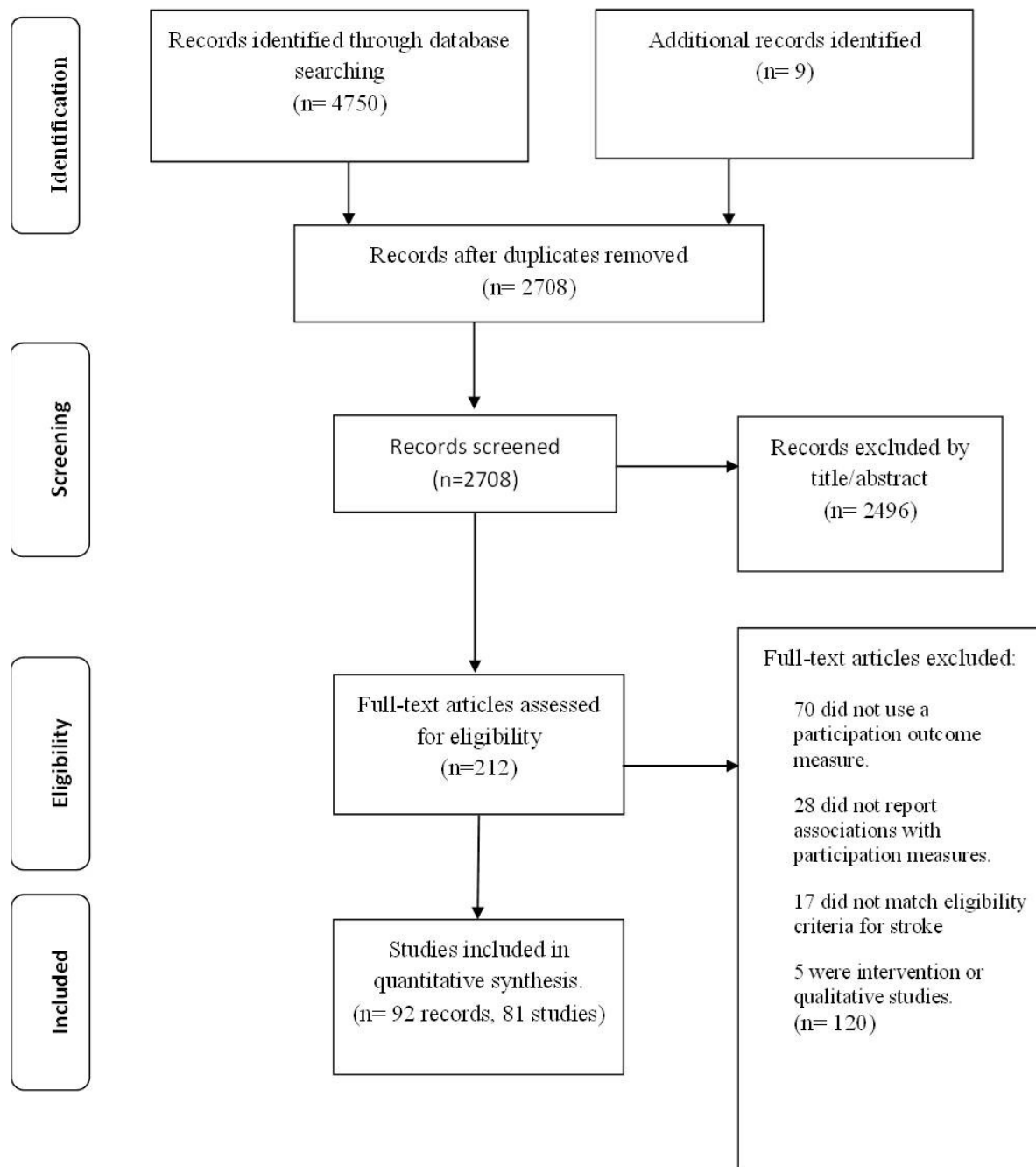
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Outcome measures	Activity and Participation chapters ICF								
	Learning & knowledge	General tasks	Communication	Mobility	Self-care	Domestic life	Interpersonal relationships	Major life areas	Community, social and civic life
Activity Card Sort (ACS) ^{*1}	✓		✓	✓	✓	✓	✓	✓	✓
Community Integration questionnaire ²		✓		✓		✓	✓	✓	✓
Frenchay Activities Index ³				✓		✓		✓	✓
IMPACT-S (participation subscale) ⁴					✓	✓	✓	✓	✓
Impact on Participation & Autonomy Questionnaire (IPAQ) ⁵					✓	✓	✓	✓	✓
LIFE-H (assessment of life habits) ⁶			✓	✓	✓	✓	✓	✓	✓
London Handicap Scale ⁷			✓	✓	✓	✓	✓	✓	✓
PAR- Pro ⁸				✓		✓	✓	✓	✓
Re-integration to normal living index ⁹							✓	✓	✓
Short Form 36 (social role functioning subscale only) ¹⁰									✓
Sickness Impact Profile			✓	✓	✓				

(psychosocial subscale only) ¹¹									
Stroke Impact Scale (social subscale) ¹²						✓	✓	✓	✓

147

Figure 1: PRISMA 2009 Flow Diagram ²¹



148

149

150 *Analysis of factors associated with participation outcomes.* Participation outcomes
 151 were associated with sociodemographic factors, health conditions, body function impairments
 152 and activity limitations (please see supplementary appendix III). Type of stroke was the only
 153 factor where the proportion of studies finding associations with participation was likely to be a
 154 chance occurrence. The direction of the associations was mostly consistent across the studies
 155 with sex being the only exception. Poorer participation outcomes were associated with older age,
 156 increased stroke severity, more comorbidity, greater degree of stroke related impairment and
 157 more activity limitations (Table 2).

158 **Table 2:** Results of exact binomial test.

159 Associations with biopsychosocial factors and participation in all studies compared to those
 160 found in sufficiently powered studies ($n > 194$ for a correlation size of 0.2). Effect sizes are
 161 categorised by Cohens rule of thumb were $d = 0.2$ small, 0.5 medium, 0.8 large or $r < 0.3$ small,
 162 $0.31 < r < 0.5$ medium, $r > 0.5$ large¹⁵. NR (not reported).

	Total number of studies.	No. of studies with a significant association	Binomial test, significance at $p < 0.05$	Association found in (n) studies with sufficient power*.	Effect size	No association found in (n) studies with sufficient power	Effect size
Contextual Factors							
Age	58	33	$p < 0.001$	9	small – medium.	3	small
Sex	35	10	$p < 0.001$	2	small	2	NR
years of education	22	8	$p < 0.001$	3	small	3	Small
Employment	9	3	$p = 0.001$	2	small	0	
Social support	7	4	$p < 0.001$	0		0	
Health condition							
comorbidities	11	7	$p < 0.001$	2	small	2	small
Type of stroke (haemorrhagic or ischemic)	14	2	$p = 0.12$	2	small	2	NR
Stroke severity	22	21	$p < 0.001$	7	medium-large	2	NR

	Total number of studies.	No. of studies with a significant association	Binomial test, significance at p< 0.05	Association found in (n) studies with sufficient power*.	Effect size	No association found in (n)studies with sufficient power	Effect size
Number of strokes	5	3	p=0.001	3	small-large	1	NR
Time since stroke	13	4	p=0.003	0		1	NR
Body functions.							
Impairment in movement related functions	14	14	p<0.001	3	small-large	0	
Involuntary movement reaction functions: balance	6	6	p<0.001	0		0	
Impairment in movement related functions: arm	7	5	p<0.001	1	NR	1	NR
Impairment in specific mental functions (cognition)	30	24	p<0.001	6	medium-large	2	NR

163

164 **Contextual Factors.** Older age was associated with worse participation outcomes. Whilst
165 there was inconsistency in study results, we found a small effect size for associations between
166 age and participation in sufficiently powered studies with a fair to low risk of bias.

167 Associations between sex and participation outcomes were also inconsistent. Ten studies
168 reported significant associations, with women being at greater risk of poor participation
169 outcomes than men. However, the effect size was small ($r = 0.1 - 0.27$)^{38, 39} and two adequately
170 powered studies found no association between the persons sex and participation^{40, 41}. One study
171 reported better participation outcomes for women⁴² and one study reported a differential effect of
172 marriage on participation according to sex⁴³.

173 Four studies found positive associations between social support and participation. These studies
174 were underpowered but nevertheless reported small to medium effect sizes ($r= 0.21- 0.41$)^{44, 45}.

175 ***Stroke factors.*** Stroke severity and increased number of comorbidities were consistently, and
176 moderately associated with worse participation outcomes. The type of stroke (ischemic or
177 hemorrhagic) was not found to be associated.

178 ***Stroke related impairments.*** Cognitive functioning and presence of depressive symptoms
179 were the factors most frequently investigated. Most studies investigating depressive symptoms
180 found significant associations with participation outcomes; the effect size range from small to
181 large. One sufficiently powered study did not find an association with depressive symptoms (as
182 measured by the Centre for Epidemiological studies depression scale⁴⁶) but did find a significant
183 association between positive affect and better participation outcomes.⁴⁷ Depressive symptoms
184 were determined through the administration of depression scales (for example Geriatric
185 Depression scale^{46, 48}); mean scores on the depression scales reflected the presence of mild
186 depressive symptoms with only two studies reporting mean scores indicating moderate to severe
187 depression ^{49, 50}.

188 Cognitive functioning was determined through cognitive screening tools and assessment of
189 specific cognitive functions. Most studies found significant relationships of impaired cognitive
190 functions with participation, with effect sizes ranging from small to large.

191 Movement related functions and balance were consistently associated with poorer participation
192 outcomes. Associations between hand and arm function and participation tended to be weaker
193 than associations with impaired lower limb function or balance.

194 Nine out of ten studies reported significant associations between aphasia and participation
195 outcomes. Effect sizes range from small to large.

196 Fatigue and pain were less frequently investigated but were consistently significantly associated
197 with poorer participation outcomes.

198 ***Activity limitations.*** Limitations in activities of daily living and mobility were strongly
199 associated with poor participation with studies reporting medium to large effect sizes. Only one
200 investigated frequency of falls and found a moderate association between participation outcomes
201 and the number of falls or fear of falling⁵¹.

202 ***Factors associated with participation at different time points post stroke.***

203 Participation scores for most stroke survivors were stable at one year or more post stroke^{52,53,54}.
204 There seems to be improvement in participation outcomes when comparing mean participation
205 scores at three months to six months⁵⁵ but little variation in participation scores overall from 1
206 year on^{52,53,56,57,58}. However, this does not reflect changes in participation at an individual level.
207 Jansen et al⁵⁷ found that participation deteriorated in 11% and increased for 12% of participants.
208 Lo et al⁴⁰ found that 17.8% of participant's participation scores deteriorated from three months
209 to one-year post stroke. Egan⁴² found improvement in participation scores over time but only for
210 participants with higher incomes. Older age was associated with deterioration in participation⁴⁰
211 ³⁷. Nevertheless, participation outcomes remained significantly different from matched controls⁵⁹
212 ⁶⁰ and poor participation outcomes at one year were strongly associated with poor outcomes three
213 years post stroke⁵⁷.

214 **Table 3:** Biopsychosocial factors associated with participation outcomes at different time points
 215 in longitudinal studies.

216

Factors associated with participation at time points post stroke					
	<3 months	4-6months	7-11 months	12-23 months	>24 months
Clarke et al (1999)¹⁶	Stroke severity, depressive symptoms, cognition, limitations in adls			Stroke severity, depressive symptoms, cognition, limitations in adls, sex	
Egan et al (2015)¹⁷		Mobility, female, low income	Mobility, female, low income, emotional well being	Mobility, female, emotional well being	Female, emotional well being
Harwood et al (1997)¹⁸				Depressive symptoms, stroke severity, limitations in adls, age, sex	Depressive symptoms, stroke severity, limitations in adls,
Mercer et al (2009)¹⁹	Motor function	Not significant			
Patel & Tilling (2006)²⁰				Depressive symptoms, mobility, pain	Depressive symptoms, mobility, energy functions
Sturm et al (2002)²¹	Limitations in adls			Limitations in adls	
Tse et al (2017b)²²	Limitations in adls, cognition, depressions, mobility	Limitations in adls.			

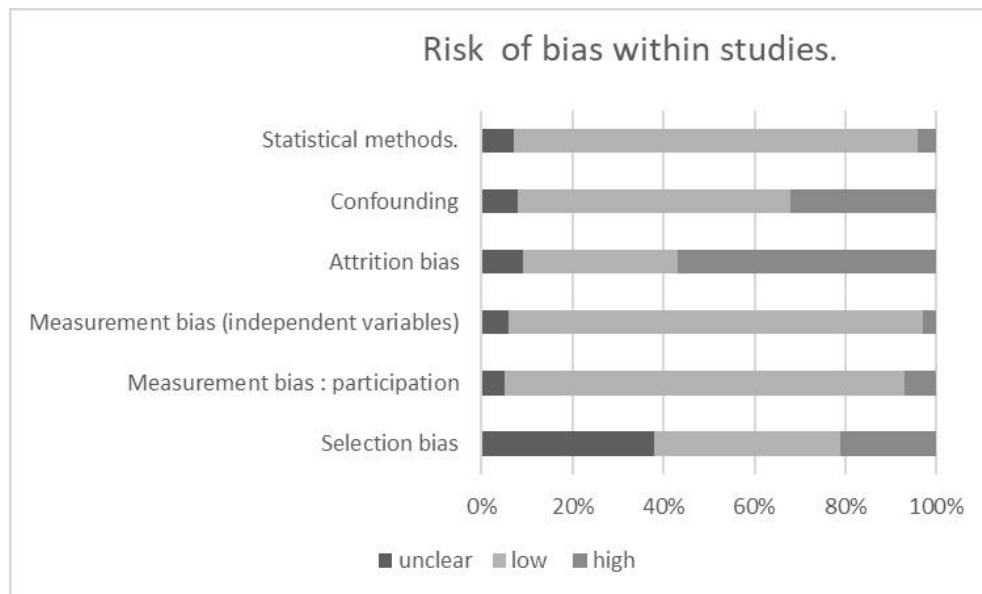
217 Seven studies compared biopsychosocial factors associated at different time points after stroke
218 (time points from three months to three years). No single factor was consistently associated with
219 participation at all time points (table 3).

220
221 ***Descriptive analysis findings.*** From the narrative descriptive analysis, the presence of cognitive
222 impairments was reported as an independent predictor of participation but was also found to
223 predict depression and were associated with limitations in activities of daily living^{52, 61, 62}.

224 Four studies reported associations with subdomains of participation and found depression to be
225 strongly associated with social functioning domains^{60, 63}. One study found that participation
226 outcomes at six months post stroke predicted emotional wellbeing up to two years post stroke⁶⁴.

227 ***Risk of bias within studies.*** Forty-six studies were assessed as being low to fair for risk of bias,
228 35 as high risk of bias and 11 studies where risk of bias was unclear. The main sources of bias
229 were selection bias and attrition bias (figure 2). Death and deterioration in health were the main
230 causes of attrition in longitudinal studies with 57 % of studies losing 20% or more participants.³⁰

231 **Figure 2:** Percentage of studies with risk of bias for each domain.



232

233

234 **Discussion**

235 To our knowledge, this is the first comprehensive synthesis of research exploring factors
236 associated with participation outcomes after stroke. We found that participation in life situations
237 was associated with a wide range of biopsychosocial factors and remained limited in the longer
238 term after stroke with most improvement occurring in the first six months. Furthermore, this
239 review exposes that associations between participation outcomes and factors other than body
240 functions are rarely considered.

241 The initial gains in participation after stroke maybe explained by the recovery of body functions
242 but we found that participation outcomes stabilised for most stroke survivors after six months
243 post stroke ^{52, 53, 56 57, 58}. This finding is explained by qualitative literature on life after stroke. Wood
244 et al⁶⁵ reveals how stroke survivors adjust their lives to match changes in their abilities once their
245 recovery from stroke has slowed. Furthermore, Salter ⁶⁶ describes a process of relinquishing roles

246 and meaningful activities because of a loss of ability and this is likely to be reflected in
247 participation outcomes. However, the relative stability of participation from one-year post stroke
248 could also be affected by long term stroke survivors being younger with less severe stroke ⁵⁶ .
249 Over half of the cohort studies in this review were at risk of attrition bias, with death and
250 worsening health being cited as the main reasons for high attrition rates.

251 The ICF framework explains participation as arising from the dynamic, non-linear and multiple
252 interactions between health conditions, the person and their given context¹⁴. Hence the wide
253 range of biopsychosocial associated with changes in participation after stroke found in this
254 review are illustrative of the ICF framework and suggest the need for an interactionist
255 perspective to intervention studies⁶⁷. Further research is needed to investigate how participation
256 outcomes are influenced by the interrelationships of factors, rather than by any one single factor.

257 The studies included in the review tended to reflect a biomedical focus with relatively few
258 studies investigating environmental factors. Nevertheless, we found social support to be
259 positively associated with participation after stroke. Other studies have found satisfactory social
260 support to be protective of well-being and health related quality of life ⁶⁸ and may enable
261 successful return to social and community activities⁶. We propose that knowledge of social
262 support, along with considering the presence of other factors such older age and comorbidities
263 helps to identify those at greater risk of poor participation outcomes.

264 There was insufficient evidence to draw conclusions about associations between other
265 environmental factors and participation considered in the review: for example, type of residence,
266 whether the person lives alone, quality of physical and social environments and societal attitudes.
267 This may reflect a historical focus on recovery of body functions and personal care activities

268 within stroke research, as well as the difficulties encountered in developing meaningful and
269 reliable measures of environmental factors^{3,69}. Further research utilising validated environmental
270 measures is needed to develop our understanding of how the environment enables or restricts
271 stroke survivors' participation.

272 Of the other contextual factors investigated, we found age and sex are most likely to be
273 associated with participation. In line with others findings,^{70,71} we found that participation
274 outcomes for older stroke survivors were worse than those for sociodemographic and
275 comorbidity matched peers.⁵⁹ The relationship between age and participation is complex, with
276 older people experiencing more comorbidities and activity limitations prior to their stroke, as
277 well increased likelihood of severe stroke⁷². Whilst, sex was less consistently associated with
278 participation amongst studies, there was a small effect size for women to experience worse
279 participation outcomes than men. However, Dehelendorf⁷³ found that women experience more
280 severe stroke and have better survival rates than men, thus explaining this finding.

281 All the investigated impairments in body functions were associated with participation, with
282 depressive symptoms and cognitive impairment being most frequently investigated and
283 consistently associated. It is probable that there are confounding relationships between different
284 body function impairments, however the narrow focus of studies in the review and the statistical
285 methods employed meant it was not possible for us to explore confounding relationships
286 between different stroke related impairments. Indeed, the studies within the review tended to
287 reflect a split between more physically focused factors and those related to cognition and mood.
288 Only four studies within the review considered problems with movement functions as well as
289 depression and cognitive functioning^{44, 63, 74, 75}.

290 Limitations in mobility, self-care and activity were consistently associated with poor
291 participation outcomes. This may be due in part to overlapping constructs within activity and
292 participation measures. We minimised this by including only participation measures with a focus
293 on domestic, social and community life. However, the strong associations between mobility,
294 activity limitations and participation outcomes may also indicate a potential area amenable to
295 interventions focused on adaptation and modifications of environmental factors and activities.
296 Participation is theoretically modifiable and achievable even in the presence of disability⁷⁶.
297 The review also identified factors that are likely influential but underrepresented in the literature.
298 For example, fatigue is highly prevalent after stroke (incidence of fatigue has been reported as
299 between 35% and 92%⁷⁷), yet was investigated by only six studies within this review.

300 *Measuring participation outcomes.*

301 Defining and measuring participation continues to be problematic with a lack of consensus as to
302 the operationalisation of participation¹⁵ and blurring of participation and activity within the
303 ICF⁷⁸. Older participation measures frequently include constructs outside of the activity and
304 participation domain as they are not underpinned by the ICF framework²⁴. Furthermore,
305 measures included in this review captured different aspects of participation such as participation
306 restriction, frequency or satisfaction⁷⁹. The included measures all relied on self-report which
307 compounds issues of unreliability¹⁵ and is particularly problematic for this group because of the
308 frequency of language, vision and cognitive deficits.

309 As a result of these issues, we have been cautious in our interpretation of the reviews results,
310 particularly where findings are less consistent across the studies or where factors have been

311 infrequently investigated. However, we suggest that factors such as depressive symptoms,
312 cognitive functioning and limitations in mobility (which were frequently investigated and found
313 to have strong associations with participation outcomes) are likely to be associated with poor
314 participation outcomes. Further research is needed to explore potential causative relationships
315 between these factors and participation.

316 Participation in life situations is widely recognised as an important outcome of stroke
317 rehabilitation yet participation outcomes remain underutilised in intervention studies^{18,19,20}.
318 Whilst the measurement of participation outcomes remains limited, we would urge more routine
319 and judicious use of participation outcome measures in intervention studies and suggest wider
320 use of the ICF to develop causative explanatory models, thereby enabling a better fit between
321 research aims, concepts of participation and measurement of outcomes.

322 Thus, whilst a consensus is needed on a core set of outcome measures after stroke, our findings
323 do not support a focus only measuring participation at 90 days post stroke as recently proposed⁸¹.

324
325 **Limitations.** The broad scope of this review is both a strength and a limitation. Whilst primary
326 research has focused on selected key areas, this review enabled examination of a wide range of
327 factors to explore associations and potential risks for poor participation after stroke.

328 Interrater agreements for eligibility and risk of bias judgements were moderate and reflect the
329 diversity of methodologies and participation outcome measures used by studies included in the
330 review. However, differences between two reviewers were resolved through discussion without
331 need for recourse.

332 Nevertheless, the heterogeneity of participation measures and inconsistency in the reporting of
333 statistical findings meant meta-analysis was not viable. Therefore we determined proportions of
334 studies with significant associations³². This approach is limited as it gives higher relative
335 weighting to small studies and does not account for publication bias³². Consequently, we reported
336 the number of insufficiently powered studies to aid interpretation.

337 Selection and attrition bias means that the studies in this review reflects outcomes for those with
338 mild to moderate stroke. Further targeted research is needed to establish participation outcomes
339 and restrictions for those living with more severe stroke and disability.

340 Problems with defining and operationalising participation in life situations are well-
341 documented^{15, 82} and the lack of a clear delineation between activity and participation has already
342 been discussed. Furthermore, the psychometric properties of commonly used participation
343 measures within stroke research are limited⁸⁰ thereby introducing measurement bias in our
344 findings.

345 We examined associations between biopsychosocial factors and participation outcomes with the
346 participation measures reflecting different aspects of participation. Hence, we viewed
347 participation outcomes in the broadest terms and did not delineate between satisfaction with
348 participation or restrictions in participation.

349 **Conclusion**

350 In summary, our findings suggest that there are multiple factors impacting on participation
351 outcomes and underscore that stroke survivors may experience participation restrictions long
352 term, particularly when they have impairments across a range of body functions. As such,

353 interventions to improve participation outcomes should be person centred, deliver gains across a
354 range of body functions and focus on the resolution of community participation restrictions¹⁷.

355 This review identified that older people with more severe stroke and stroke related impairments
356 are most at risk of poor participation. There is little change in participation outcomes from one-
357 year post stroke for most stroke survivors and variability as to the factors associated with
358 participation at different time points after stroke. The presence of depressive symptoms,
359 problems in cognitive functioning, mobility and activity limitations were most frequently and
360 consistently associated with poor participation outcome but how these factors impact on
361 participation remains unclear and is inconsistent over time since stroke. The results of this
362 review also reflect a biomedical focus of research in this area and we suggest further research is
363 needed to understand the potential role of environmental factors in mitigating poor participation
364 outcomes.

365 The considerable variability in how participation is operationalized is a barrier to measuring this
366 important outcome after stroke interventions. With this in mind, a consensus is needed on
367 defining and measuring participation outcomes relevant to stroke survivors, along with wider use
368 of participation outcomes in research to build a body of evidence for effective interventions.

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