

33 construction industry accounts for 47% of greenhouse emissions (BIS, 2010). Thus, the
34 construction industry is responsible for a significant share of emissions into the atmosphere.
35 No wonder reducing embodied energy and carbon dioxide (CO₂) of buildings has
36 increasingly become a very hot topic amongst governments and/or environmental
37 organisations. Embodied energy can be defined as the quantity of energy used during the
38 lifecycle of materials, upstream or downstream of the development of a building
39 (construction, renovation or refurbishment) (Gaspar and Santos, 2015). It thus includes the
40 energy used for the: extraction, transport, processing of raw materials, manufacturing of
41 building materials and components, various processes of the on-site assembly, storage,
42 performance, deconstruction and disposal of materials (Sartori and Hestnes, 2007; Dixit et
43 al., 2010). The extraction, processing, manufacture, transportation, assembly and use of a
44 product utilizes energy and induces harmful emissions, including CO₂ and other greenhouse
45 gases (Häkkinen et al., 2015). The induced CO₂ is what is referred to as embodied CO₂.
46 Embodied carbon is often confused with embodied CO₂. In this study, we strictly stick to
47 embodied CO₂, and embodied carbon can be computed from embodied CO₂ using molar
48 mass relationships of the constituent elements. On the other hand, operational energy is the
49 energy consumed in running or conditioning (e.g. heat, cool, ventilate and light) the interior
50 spaces of a building and to power equipment and services (Abanda et al., 2014). Thus,
51 operational CO₂ is the CO₂ emission induced from the operational energy. The UK
52 government has long set a legally binding 80% reduction in CO₂ emissions compared to 1990
53 levels by 2050 as part of the 2008 Climate Change Act (HMSO, 2008). The most recent UK
54 construction strategy report requires the built environment to cut emissions by 50% by 2025
55 (The HM Government, 2013) to the 1990 levels. The targets currently require net zero
56 operational carbon emissions for all domestic buildings after 2016 and net zero operational
57 carbon emissions for all new non-domestic buildings after 2019 (HM Government, 2011).
58 Such ambitious stringent targets require every source of emissions to be minimized or cut if
59 possible.

60

61 In the past, focus has been on the operational energy of buildings with the assumption or
62 belief that embodied energy was too small (Pacheco-Torgal et al., 2013; Cabeza et al., 2014;
63 Dixit et al., 2012). Pacheco-Torgal et al. (2013) reported that embodied energy represents
64 between 10-15% of operational energy. Cabeza et al. (2014) reported that embodied energy
65 constituted 10-20% of life cycle energy of a building. Some studies have reported figures as

66 low as 2%. For example, Sartori and Hestnes (2007) reported that embodied energy could
67 account for 2-38% of total life cycle energy of a conventional building and 9-46% for a low-
68 energy building. In addition to embodied energy, the production of building materials (e.g.
69 extraction, transportation and manufacturing processes) releases CO₂ mainly due to the use of
70 fuel or electricity. Thormark (2006) reported that embodied energy in traditional buildings
71 can be reduced by approximately 10-15% through proper selection of building materials with
72 low environmental impacts. González and Navarro (2006) estimated that the selection of
73 building materials with low impacts can reduce CO₂ emissions by up to 30%. In the UK,
74 Sturgies (2010) predicts the proportion of embodied carbon to increase from 30% to 95%
75 while the operational carbon will reduce to 5% from 70% for a domestic dwelling over the
76 coming 7-10 years with improved legislation. As the operational energy use decreases,
77 embodied energy use will occupy a greater portion of the building life cycle. The effective
78 implementation of policies such as the Energy Performance Building Directive could see
79 significant reduction in operational energy while embodied energy could increase to almost
80 40% of the operational energy in the near future (Cabeza et al., 2013). Therefore embodied
81 energy and CO₂ are quite important in environmental building assessment.

82

83 Consequently, it is not surprising that recent interest in embodied energy and CO₂ research
84 has grown to very significant levels. The scale of research in this area can be noted in Dixit et
85 al. (2010) and Abanda et al. (2013a). Dixit et al. (2010) conducted an extensive literature
86 review and reported 10 parameters that influence the quality of embodied energy results.
87 Abanda et al. (2013a) reviewed 11 main models consisting of 23 equations used for
88 computing embodied energy from at least 20 peer-reviewed studies. Based on a review of the
89 different studies in Dixit et al. (2010), Abanda et al. (2013a) and other recent literature (see
90 the section 2) it emerged that a system that automatically compute embodied energy and CO₂
91 for buildings, in compliance with well-established standard measurement methods is needed.
92 The issue of automatic computation of quantities has been a long standing challenge and
93 widely acknowledged in the literature. One of the early studies that highlighted the need for
94 automated computation of quantities from Computer-Aided-Design (CAD) systems was the
95 work of Neuberger and Rank (2002: pp. 26). In the study, the authors quoted: “the main
96 problem is that most of the simulation tools and CAD are not linked together. The time
97 consuming manual data input and the additional expenditure to the normal planning work is
98 economically not bearable, particularly if different scenarios have to be compared”. The

99 preceding two sentences underpins the major differences between CAD and BIM systems and
100 served as some of the major reasons for adopting BIM in this study. Firstly, BIM offers the
101 opportunity to superpose multidisciplinary information within a powerful federated project
102 model (Ilhan and Yaman, 2016). Secondly, the ability to simulate, assess and compare
103 different construction parameters (e.g. embodied energy, operational energy, cost, etc.) of
104 construction project virtually before contractors begin to construct it in reality is a key
105 strength of BIM (Vernikos, 2012). Furthermore, Kim and Anderson (2013) argued that
106 virtual BIM models can be visually checked to ensure modelling accuracy. This real-time
107 virtual and fast way of simulating and exploring various options of construction projects and
108 their impacts makes BIM one of the most powerful systems in supporting decision-making
109 processes. Although compliance or alignment of computation results with standard
110 measurement methods has been an issue for some time, it received interest with the
111 increasing capability and popularity of BIM. Recent studies (e.g. Olatunji et al. (2010),
112 Zhiliang et al. (2011), Olatunji and Sher (2014), Ma et al. (2013), Monteiro and Martins
113 (2013)) argued the need to align material/component quantities with standard measurement
114 methods.

115

116 The aim of this study is to investigate and develop a system that can automate the
117 computation of embodied energy and CO₂ of buildings and aligns the results to New Rules of
118 Measurement, one of the UK leading standards of construction measurement methods. This
119 aim is achieved through the following research objectives:

120

- 121 i. to develop an algorithm that can be implemented in any BIM software system for the
122 assessment of embodied energy/CO₂ and cost of a building project;
- 123 ii. automate the extraction of quantities and embodied energy/CO₂ and cost from a BIM
124 software to the proposed system;
- 125 iii. align the computational results of the embodied energy and CO₂ to the UK New Rules of
126 Measurement and hence cost data for building cost estimation;
- 127 iv. test the system using selected case study buildings.

128

129 The remainder of this paper has been divided into 9 sections. In the second section, a review
130 of other embodied energy and CO₂ studies has been undertaken. This enabled the
131 understanding of how embodied energy and CO₂ has been computed in past. In the third

132 section, a brief research method for this study is presented. In the fourth section, a detailed
133 investigation into the importance of mathematical modelling and different types of
134 mathematical models was undertaken. That led to the identification of the main mathematical
135 models that served as the basis for the proposed system. In the fifth section, the approach
136 used in digitising the UK New Rules of measurement that was used in mapping the
137 computation of embodied energy and CO₂ is presented. The development and implementation
138 of the proposed system is discussed in the sixth section. An application based on a chosen
139 house (a single ground floor, lounge, 2 bedrooms, 1 bathroom, a kitchen and a dining room)
140 is examined in the seventh section. The challenges and how they were overcome are
141 discussed in the eighth section. In the ninth section, a recapitulation and a discussion about
142 the process and output from this paper are discussed. The paper is concluded in the tenth
143 section by a way of a summary of what has been undertaken with perspectives of future
144 studies.

145

146 **2. An overview of the scientific literature**

147 Since the publication of Abanda et al. (2013a) that reiterated the need for an automated
148 system underpinned by an integrated mathematical model that can be used to compute
149 embodied energy and CO₂ also argued in Neuberg and Rank (2002), we sought to investigate
150 progress made about embodied energy and CO₂ computation. On reviewing studies since
151 Abanda et al. (2013a), four major findings can be identified.

152

153 Firstly, many studies are still focusing on domain challenges that complicate computations
154 processes. Some examples of domain problems are issues related to difficulties associated
155 with boundary definitions of buildings and attribution of respective sources of energy (e.g.
156 diesel, coal, biomass etc.) to the resulting embodied carbon (Kibwami and Tutesigensi,
157 2014). Takano et al. (2014) revealed that the numerical differences between database
158 inventories are quite large with differences originating from multiple data elements. Davies et
159 al. (2015) argued that embodied energy intensity data are represented in various inconsistent
160 forms (i.e. weight per unit, weight of total, length, Kg/m²) which are not easily transferable
161 for computation; highlighting the need for further standardisation of units for environmental
162 measurement. Secondly, case studies revealing share size of embodied energy and carbon
163 have been quite common (Galán-Marín et al. 2015; Davies et al. 2015; Rauf and Crawford
164 2015; Gaspar and Santos 2015; Jang et al. 2015; Atmaca and Atmaca 2015). For example,

165 Galán-Marín et al. (2015) conducted a study that compared the embodied energy of
166 conventional load-bearing walls versus natural stabilized earth blocks. Thirdly, recent
167 decision support tools have tapped into emerging BIM and Semantic Web to address key
168 issues such as facilitating automatic extraction of data and improving intelligence have not
169 adequately integrated embodied energy/CO₂ and construction cost. Hou et al. (2015)
170 investigated how ontology and Semantic Web rules can be used in a knowledge-based
171 system, to represent information about structural design and sustainability, and to facilitate
172 decision-making in design process by recommending appropriate solutions for different use
173 cases. A prototypical system named OntoSCS (Ontology for Sustainable Concrete Structure),
174 including a Web Ontology Language (OWL) ontology as knowledge base and Semantic Web
175 Rule Language (SWRL) providing reasoning mechanism was developed to offer optimised
176 structural design solutions and selections of material suppliers. Embodied energy and CO₂ are
177 used in the system as indicators to evaluate sustainability of structure. Zhang and Issa (2013)
178 conducted a study and demonstrated that the use of ontology provides a way to deal with the
179 technical complexity of Industry Foundation Classes (IFC) models. Zhiliang et al. (2011)
180 proposed an IFC -based model for construction estimation for tendering in China. The study
181 by Zhiliang et al. (2011) was further extended by Ma et al. (2013) where algorithms for
182 exporting and filtering IFC data to align with specifications and other constraints for cost
183 estimation in China were developed. Fourthly, while Neuberg and Rank (2002) focused on
184 sustainability, albeit without considering embodied energy and/or carbon, most studies are
185 related to cost estimation (e.g. Olatunji et al. (2010), Zhiliang et al. (2011), Olatunji and Sher
186 (2014), Ma et al. (2013)). So far, existing efforts to align standard measurement methods with
187 cost data have been very limited. Ma et al. (2013) and Cheung et al. (2012) developed
188 systems for the representation of cost information in alignment with the Chinese and UK
189 standard measurement methods respectively. However, although Cheung et al. (2012)
190 focused on the UK NRM, it was based on early design stages where information about the
191 building project is scarce and thus less complex. Perhaps, partly because of the lack of BIM-
192 based systems for aligning quantities with standard measurement methods, the Royal
193 Institution of Chartered Surveyors recently funded a study to investigate how BIM can
194 support the UK New Rules of Measurement (NRM 1) (Wu et al., 2014). This study
195 culminated in a proposed framework without any software for automatic extraction of cost
196 data and alignment with NRM 1.

197

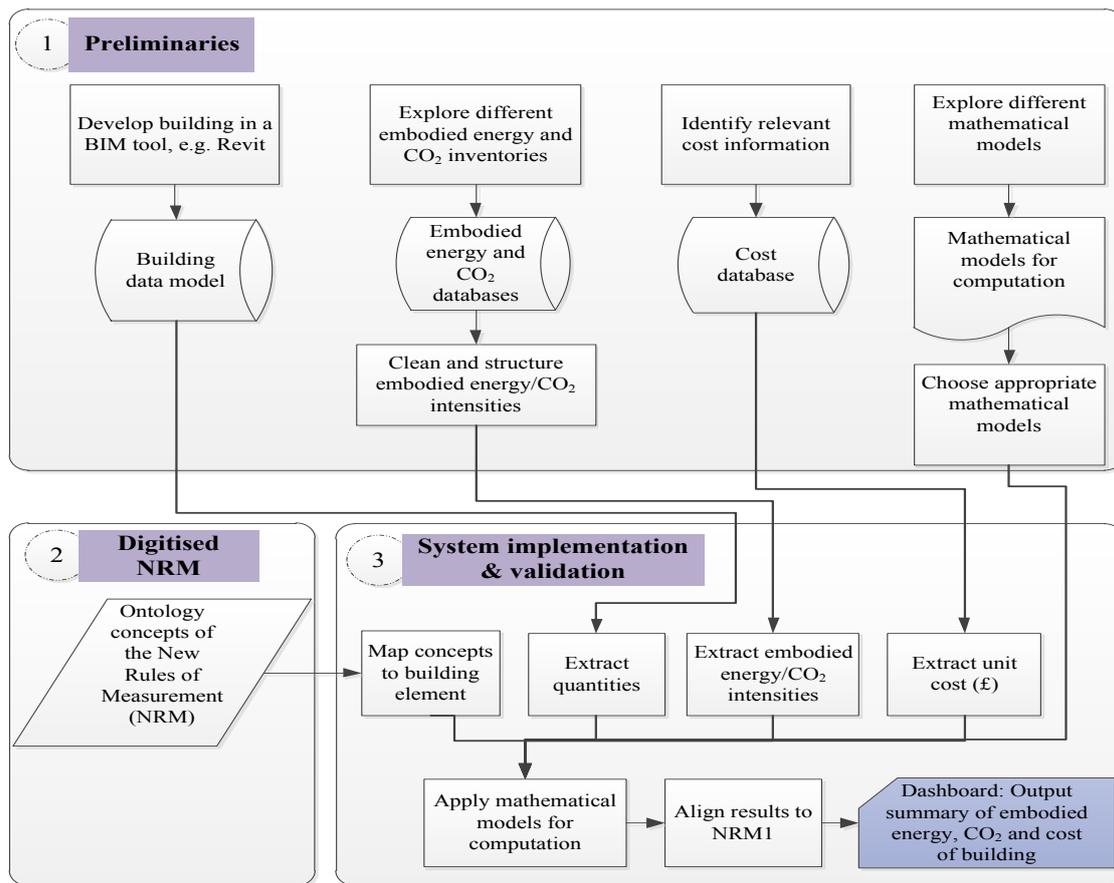
198 While the aforementioned studies in the preceding paragraphs have further detailed the
199 understanding of embodied energy and CO₂ computation, there are still some challenges to be
200 addressed. Isolated models are quite common and still being used in computing embodied
201 energy and CO₂ of buildings (Galán-Marín et al. 2015; Davies et al. 2015; Rauf and
202 Crawford 2015; Gaspar and Santos 2015; Jang et al. 2015; Atmaca and Atmaca 2015). The
203 much discussed need for a generalised model in Abanda et al. (2013a) has still not been
204 addressed. Many models for the quantification of environmental emissions and construction
205 project performance have evolved independently and still exist in isolation (Teng and Wu
206 2014; Abanda et al. 2014). While the OntoSCS in Hou et al. (2015) can be considered an
207 automated process, it is important to note that the Semantic Web is still emerging and
208 usability or presentation of results in user-friendly interfaces is still a challenge. Furthermore,
209 OntoSCS system used Semantic Web Rule Languge (SWRL), and presented the results in
210 SWRLTab, a rule-based development environment, not so user-friendly, especially to
211 construction professionals. Finally, none of the studies aligned their computed results to any
212 standard measurement methods, e.g. the UK New Rules of Measurement. It is important to
213 adopt a standard way of outputting results to ensure consistency, verification, validation and
214 comparison of results across different building components. Furthermore, by adopting
215 existing standards of measurements such as the UK New Rules of Measurement used for cost
216 estimation, it is possible to simultaneously determine the cost and environmental impacts of
217 building components. For example, it will be possible to determine the cost of superstructure
218 of a building as well as its environmental impact based on embodied energy. This study will
219 address these shortcomings. Our proposed approach builds on Abanda et al. (2015), Nepal et
220 al. (2013), Staub-French et al. (2003) to develop a system that extracts in an automatic
221 fashion, quantities from one of the leading BIM software system, i.e. Revit and computes
222 embodied energy and CO₂ while aligning the results with the UK NRM 1. Abanda et al.
223 (2015) argued for the need to integrate cost and environmental impact for simultaneous
224 assessment, hence a component for cost estimation was also included in the proposed system.
225 The system allows for the cost and environmental impacts (i.e. embodied energy and CO₂) of
226 building elements to be simultaneously determined.

227

228 3. Research Methods

229 The research framework proposed for this study is presented in Figure 1. The first part
230 consists of preliminary activities aimed at preparing input data and the mathematical models

231 that underpin the proposed system. The exploration and adaption of the most relevant
232 mathematical models for computing embodied energy and CO₂ is an important activity that
233 will be discussed in section 4. The second part consists of digitising or developing NRM 1
234 ontology that depicts a structured NRM 1 work break down structure. One of the main
235 recommendations in ontology development is the consideration and re-use of existing
236 ontology if it exists (Noy and McGuinness, 2001; Gómez-Pérez et al., 2011). We reviewed
237 leading ontology libraries (Swoogle (<http://swoogle.umbc.edu/>) and Protégé ontology library
238 (http://protegewiki.stanford.edu/wiki/Protege_Ontology_Library)) and existing literature
239 (Abanda et al. 2013b; Abanda et al. 2015; Grzybek et al 2014; Pauwels et al. 2016) for the
240 identification of potential standard measurement ontologies for re-use. Despite the fact that
241 many ontology libraries are rich in ontologies covering various disciplines, a specific
242 ontology that could be used or at least serve as a basis for the ontology of this study could not
243 be found. With regards to peer-reviewed literature, recent studies have focused on detailed
244 applications of ontologies in different built environment disciplines and applications. Abanda
245 et al. (2013b) and Grzybek et al. (2014) conducted extensive review about different
246 ontologies applications in the built environment. However, the studies did not reveal anything
247 related to standard measurement ontologies, talk less of NRM 1 ontology. Even the most
248 recent study by Pauwels et al. (2016) discussed ontology applications for product
249 manufacture, building energy performance, regulation compliance checking and geographical
250 and infrastructure. Only Abanda et al. (2015) provided initial concepts of NRM 1 ontology.
251 Therefore, in line with ontology development practice, the NRM 1 ontology in Abanda et al.
252 (2015) was enriched and used. The third part consists of detail implementation that leads to
253 the computation of embodied energy/CO₂ and cost and aligns them to NRM1. The results are
254 summarised and presented in a chart. The detail of part 3 of Figure 1 is covered in sections
255 six and seven.
256



257

258

259 **Fig. 1.** Integrated framework for automatic BIM-based computation of embodied energy/CO₂
 260 and cost

261

262 **4. Mathematical modelling techniques for computing embodied and CO₂**

263 A mathematical model of a real object is a totality of logical connections, formalised
 264 dependencies and formulas, which enables the studying of real world objects without its
 265 experimental analysis (Gertsev and Gertseva 2004; Kundzewicz et al. 2000). Real world
 266 objects include process, phenomenon, object, element, system, etc. Mathematical models
 267 typically offer convenience and cost advantages over other means of obtaining the required
 268 information about real world objects (Kundzewicz et al. 2000). Most recently, mathematical
 269 models have been used in decision-making about environmental impacts from waste (Hersh
 270 2006). In construction projects, the focus has been on the derivation of mathematical models
 271 for the computation of environmental emissions from the building life cycle (Dixit et al.,
 272 2010; Chang et al., 2010). The leading approaches that have employed mathematical models
 273 in computing embodied energy and carbon are process, input-output and hybrid analyses.

274

275 **4.1 Process analysis**

276 In a process life cycle assessment, known environmental input and output are systematically
277 modelled through the utilisation of a process flow diagram. It is a popular method for
278 analysing embodied energy and CO₂ as it is easy to understand and project specific which
279 allow users to compare the environmental impact of different schemes. It adopts a bottom-up
280 approach to account for all input upstream in the process. Results from the method are
281 considered to be accurate (Ding, 2004) and reliable (Crawford and Treloar et al., 2003) if the
282 processes are defined accurately. The method is often criticised for its subjectivity in the
283 definition of process boundaries being systematically incomplete (Bullard et al., 1978;
284 Lenzen, 2001; Treloar et al., 2003), and impracticable as it is impossible to account for every
285 single detail of every production paths of a particular building due to its diverse and complex
286 nature (Treloar et al., 2001). Potential errors are caused by the failure to identify upstream
287 process paths and truncation of system boundaries (Lave et al., 1995). In practice, there is
288 also a tendency to over-simplify the processes involved due to the regular use of standard
289 data sets with implicit exclusions, and standard models which often ignore many processes
290 (Treloar et al., 2001). The accuracy of this method highly depends on the dataset which is
291 often quantified in terms physical consumption data, e.g. kWh of electricity, tonnes of
292 aggregates and kilograms of food.

293

294 **4.2 Input-output (I-O) analysis**

295 The concept was first developed by economist Wassily Leontief (Leontief, 1966) to predict
296 the effect of changes in national average data of an industry on others by using a matrix to
297 show the relationship (Leontief 1966; 1970). The concept has been extended to apply to other
298 fields including environmental impact assessment by replacing economic exchanges to
299 energy exchanges. The I-O analysis gained favour from researchers as the system boundary is
300 considered as comprehensive and complete (Treloar, 1997; Suh and Huppel, 2002)
301 disregarding that its 'black box' nature is often being criticised as lacking transparency.
302 Contrast to the process analysis, it is a top-down method that uses average material price data
303 to assess embodied energy. This technique is very suitable in situations where the physical
304 consumption data of process or products are not available (Simmons et al., 2010). It uses the
305 financial I-O tables to estimate average CO₂ associated with each £ of spending within a
306 given sector of a national economy. The application of I-O analysis for the evaluation of
307 individual building projects is very limited as the approach and data used is not sophisticated

308 enough to distinguish differences between specific project aspects. It is more suitable for the
309 estimation of the overall impacts of products on a regional, national or international level or
310 for scoping exercise. Some weaknesses are common with the I-O analysis method. Firstly,
311 the method include the presence of potential errors resulting from the proportionality
312 assumption (i.e. input to a sector is assumed to be linearly proportional to its output) and
313 homogeneity assumption (i.e. output from a sector is assumed to be proportional to their
314 price), and additional errors due to conversion of prices to embodied energy (Lenzen, 2001).
315 Secondly, the I-O tables used in the estimation of physical flows of materials through the
316 economy are highly aggregated. Third, the I-O data tables are often too old and out-dated.

317

318 ***4.3 Hybrid analysis***

319 Various attempts have been made by researchers to combine the process analysis and I-O
320 analysis to overcome the problems of the two individual methods described above (e.g.
321 Bullard et al., 1978; Oka et al., 1993; Lenzen, 2002). Early approach to combine the two
322 methods is often referred as process-based hybrid or tiered hybrid analysis. Generally, the
323 tiered hybrid method aims to improve the completeness of results while keeping process
324 specificity by aggregating the process analysis results that cover near upstream processes as
325 prescribed in the process flow identified and input-output analysis results that cover far
326 upstream processes beyond the process flow identified. An operational tool called Missing
327 Inventory Estimation Tool (MIET) (Suh and Hupples, 2002), which has been further
328 developed to a commercial software, SimaPro, is available to support the tiered hybrid
329 method for life cycle analyses studies. Although the tiered hybrid is able to complete the
330 system boundaries for components upstream from the process flow due to the use of I-O data,
331 it inherited major limitations of process analysis. For instance, the method still relies heavily
332 on the user's input in defining processes which remains the main cause for truncation errors.
333 Besides, since the method involves the translation of I-O data, i.e. total energy intensities for
334 materials (in MJ/£), to embodied energy (in MJ) by multiplying average product prices, any
335 pricing errors could easily bias the results (Treloar, 1994). The second form of hybrid
336 analysis uses the input-output data as the basis. The method disaggregates part of the I-O data
337 from an I-O model to enhance process specificity. Treloar (1997) developed a systematic
338 technique to extract significant embodied energy paths from the I-O data. Activities for those
339 process data which are available are first identified. Values for identified energy paths are
340 then replaced by those calculated using process data. Thus, the holistic nature of I-O analysis

341 is preserved. The technique is further applied to conduct embodied energy analysis for
 342 individual buildings (Treloar et al., 2001). The study demonstrates that case specific data can
 343 be integrated into I-O based model. Similar methods have been used in subsequent embodied
 344 energy studies (e.g. Lenzen (2002)). The I-O hybrid method does have limitations mainly
 345 inherited from the I-O nature. Firstly, the method alone cannot be used to assess the whole
 346 life cycle of a product as I-O data does not cover the use and end-of-life stages. One solution
 347 is to use it together with process method or tiered hybrid method to cover the two outstanding
 348 stages. By integrating with a process-based method, the completeness of the system is again
 349 doubtful. Secondly, the method is not suitable for analysing an element or a component of
 350 individual buildings because it is not possible to disaggregate I-O data by specific elements or
 351 components.

352

353 The approach adopted in this work is based on matrix algebra inherent in input-output which
 354 at the same time encapsulates linear functions common in process approaches. However,
 355 instead of using financial I-O tables to estimate average embodied energy and CO₂ associated
 356 with each £ of spending within a given sector of a national economy, we have chosen the
 357 content or entries of the matrix tables to represent directly the quantity of material used in a
 358 building project. Thus, the weakness often associated with the dependence on outdated I-O
 359 tables that only provide average embodied energy and/or CO₂ is avoided. The matrix-based
 360 models examined in the British Standards (BS 2010) provide a good starting point and was
 361 adapted for embodied energy and CO₂ assessments in this study.

362

363 Let's suppose the different work break down packages are categorised into m group elements
 364 denoted GE_i , $i = 1$ to m . Suppose there are n building elements BE_j with each quantity q_{ij} , $j =$
 365 1 to n . Let's suppose the embodied energy intensity of each building element BE_j be e_j . The
 366 embodied energy, EE_i , of each group element can be computed as:

367

$$\begin{matrix}
 GE_1 \\
 GE_2 \\
 GE_3 \\
 \cdot \\
 \cdot \\
 \cdot \\
 GE_m
 \end{matrix}
 \begin{bmatrix}
 q_{11} & q_{12} & q_{13} & \cdot & \cdot & \cdot & q_{1n} \\
 q_{21} & q_{22} & q_{23} & \cdot & \cdot & \cdot & q_{2n} \\
 q_{31} & q_{32} & q_{33} & \cdot & \cdot & \cdot & q_{3n} \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\
 \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\
 q_{m1} & q_{m2} & q_{m3} & \cdot & \cdot & \cdot & q_{mn}
 \end{bmatrix}
 \begin{bmatrix}
 e_1 \\
 e_2 \\
 e_3 \\
 \cdot \\
 \cdot \\
 \cdot \\
 e_n
 \end{bmatrix}
 =
 \begin{bmatrix}
 EE_1 \\
 EE_2 \\
 EE_3 \\
 \cdot \\
 \cdot \\
 \cdot \\
 EE_n
 \end{bmatrix}
 \quad (1)$$

369

370 The embodied energy for a work package is:

$$371 \quad EE_i = \sum_j^n q_{ij} e_j \quad (2)$$

372 The total embodied energy for the whole building is:

$$373 \quad TE = \sum_{i=1}^m EE_i = \sum_{i=1}^m \left[\sum_{j=1}^n q_{ij} e_j \right] \quad (3)$$

374 If the waste factor μ_j is considered then:

$$375 \quad TE = \sum_{i=1}^m EE_i = \sum_{i=1}^m \left[\sum_{j=1}^n (1 + \mu_j) q_{ij} e_j \right] \quad (4)$$

376 Similarly, considering the embodied CO₂ intensity, ec_j , of each building element BE_j , and
377 waste factor λ_j , the total embodied CO₂ of the building is:

$$378 \quad TEC = \sum_{i=1}^m EC_i = \sum_{i=1}^m \left[\sum_{j=1}^n (1 + \lambda_j) q_{ij} ec_j \right] \quad (5)$$

379

380 All the variables in equations 4 and 5 can be obtained from the building model in Revit
381 except e_j and ec_j that should be sourced from inventory databases. To this end, leading
382 inventory databases were reviewed to identify suitable embodied energy and CO₂ intensities.
383 Some examples include Bilan Carbone developed by the Agence de l'Environnement et de la
384 Maîtrise de l'Energie (ADEME) (ADEME, 2017), the Bath Inventory of Carbon and Energy
385 (ICE) developed by Hammond and Jones (2008) at the University of Bath, UK, Emission
386 Factor Database (EFDB) developed under the coordination of the Intergovernmental Panel on
387 Climate Change (IPCC) (EFDB, 2017), the Eco-Inventory (a.k.a ecoinvent) developed by the
388 Swiss Centre for Life Cycle Inventories (SWLCI) (ECO, 2017) and GaBi, a life cycle
389 sustainability assessment tool developed by Thinkstep, based in Leinfelden-Echterdingen,
390 Germany (GaBi, 2017). On examining the afore-mentioned database inventories, three main
391 findings emerged. Firstly, the scope of ADEME, EFDB, ecoinvent and GaBi are wider and
392 contains intensities of materials of many sectors compared to Bath ICE that focuses only on
393 construction materials. Secondly, the embodied energy and CO₂ intensities in all the
394 databases are structured differently, talk less of being aligned to any standard measurement
395 methods. Thirdly, all the inventory databases contain only non-geometric data, implying that
396 professionals or experts will still have to manually extract the embodied energy and CO₂

397 intensities and combine these with geometric data of buildings to manually compute the
398 embodied energy and embodied CO₂ in a separate system. This is very time consuming,
399 tedious and error prone. We proposed a system that builds on the preceding weaknesses by
400 first of all choosing Bath ICE for the e_j and ec_j because of its focus on construction and also
401 because the case study building is based in the UK. Furthermore, our BIM-based approach
402 integrates geometrical and non-geometrical data, computes embodied energy and embodied
403 CO₂ and then finally aligns the results to standard measurement methods. By doing so, the
404 results automatically align to cost data structured in according to standard measurement
405 methods, in this case the NRM 1. This allows experts to conveniently consider environmental
406 performance as well as cost of buildings, which is not obtainable with database inventories
407 that essentially deal with single products/materials data or a simplistic combination of data
408 for composite components.

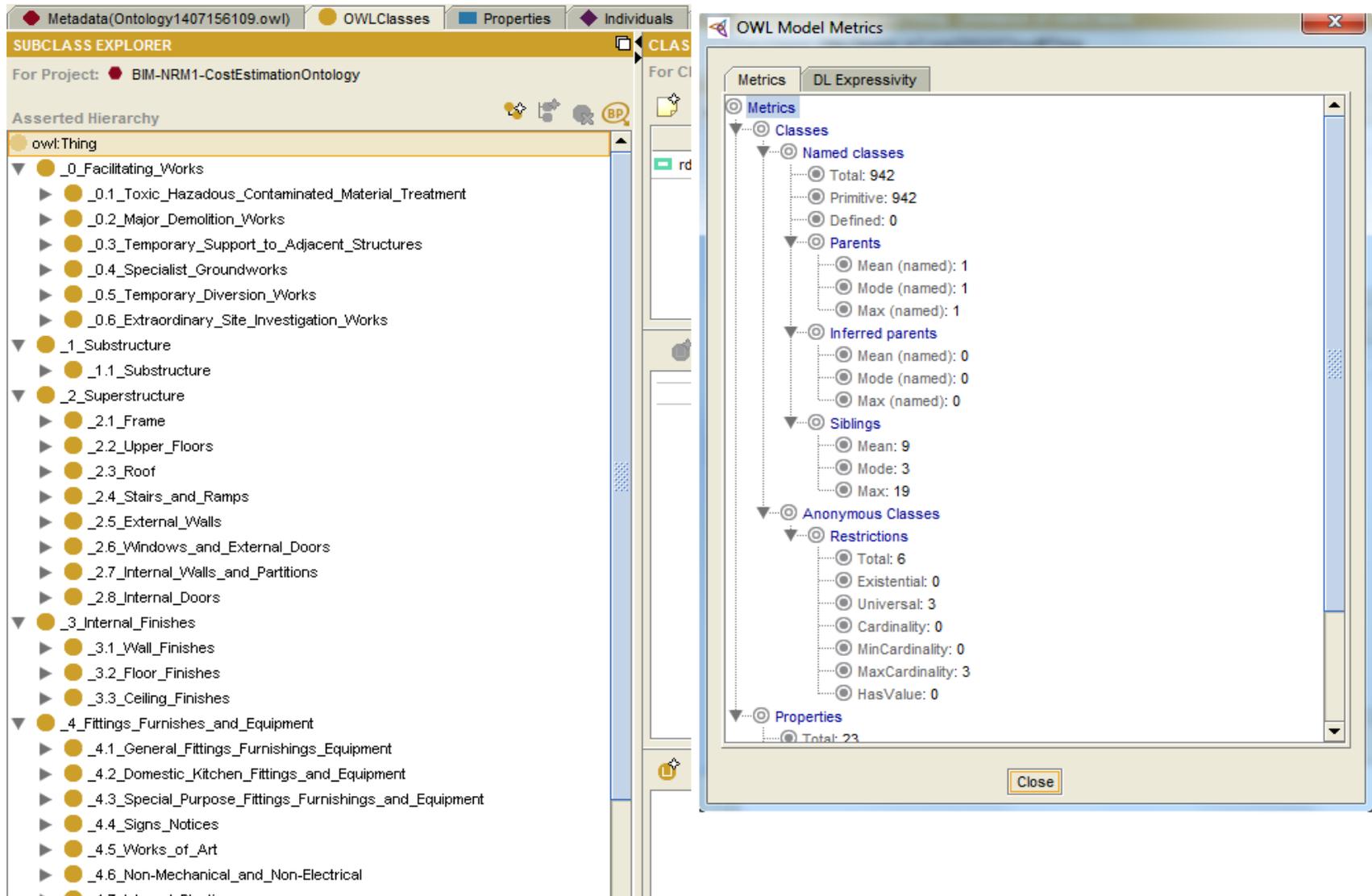
409

410 **Digitising New Rules of Measurements**

411 In the UK, New Rules of Measurements are amongst the leading professional documents
412 used for construction material quantification and cost estimation. Currently there are two
413 versions. RICS New Rules of Measurement 1 (NRM 1) provides fundamental guidance on
414 the quantification and description of building works for the purpose of cost estimation and
415 cost plans (RICS, 2009). It provides a standard set of measurement rules that are
416 understandable by all those involved in a construction project. RICS New Rules of
417 Measurement 2 provides fundamental guidance on the quantification and description of
418 building works for the purpose of preparing bill of quantities and quantified schedules of
419 works. It also provides a sound basis for designing and developing standard or bespoke
420 schedules of rates (RICS, 2012). However, the UK New Rules of Measurement is not
421 electronic and professionals often edit the different work break down structure using
422 Spreadsheet for their different purposes. The current format of the UK New Rules of
423 Measurement is not yet integrated in BIM tools and has already been criticised by Olatunji et
424 al. (2010) and Wu et al. (2014). Consequently, it was imperative to develop an ontology of
425 the New Rules of Measurement that can facilitate the take-offs of construction materials for
426 embodied energy and CO₂. The NRM 1 breaks building works into 15 group elements,
427 numbered from 0 to 14. The most important group elements are 0-8 (RICS, 2012, pp.24). The
428 different group elements are Group 0: Facilitating Works; Group 1: Substructure; Group 2:
429 Superstructure; Group 3: Internal Finishes; Group 4: Fittings, Furnishes and Equipment;

430 Group 5: Services; Group 6: Prefabricated Buildings and Building Units; Group 7: Work to
431 Existing Buildings and Group 8: External Works. Each of these groups is further broken
432 down into elements. For example, Group 3: Internal Finishes is broken down into 3, namely,
433 Wall Finishes, Floor Finishes and Ceiling Finishes. The NRM 1 data is text-book-based and
434 hence presents challenges on how to be edited into the proposed system. The knowledge
435 engineering techniques used to capture the concepts have been discussed in Abanda et al.
436 (2015). Based on Abanda et al. (2015), the key ontological concepts, i.e. classes, sub-classes,
437 object properties, data type properties and instances were manually identified and elicited
438 from NRM 1 book. The manually elicited ontological concepts were manually edited into
439 Protégé-OWL 3.5. Protégé-OWL 3.5 is one of the leading ontology/knowledge engineering
440 editors developed by the Stanford Centre for Biomedical Informatics Research (BMIR),
441 Stanford University, USA. It offers two main benefits that cannot easily be obtained from
442 using traditional software such as MS Excel. Firstly, concepts and sub-concepts can easily be
443 created in Protégé-OWL, not straight-forwardly done in MS Excel. Secondly, Protégé-OWL
444 facilitates the checking of duplicated classes or concepts. Editing repeated terms are not
445 allowed in Protégé-OWL and the software will alert if there is a duplicated term. This facility
446 is not present in MS Excel. This study goes beyond top level ontological concepts provided
447 by Abanda et al. (2015) to detail sub-classes of concepts and instances of the Fittings,
448 Furnishes and Equipment (Group 5) Services (Group 6) of the NRM 1. Using Protégé-OWL
449 3.5, 942 concepts were captured. An excerpt of the NRM 1 electronic ontology is presented
450 in Figure 2. The complete developed electronic NRM 1 was integrated into the proposed
451 system. The details of this integration process, mathematical models used and the undertaking
452 of activities in part 1 (i.e. preliminaries) of Figure 1 (i.e. research framework) will be
453 discussed in the sixth and seventh sections.

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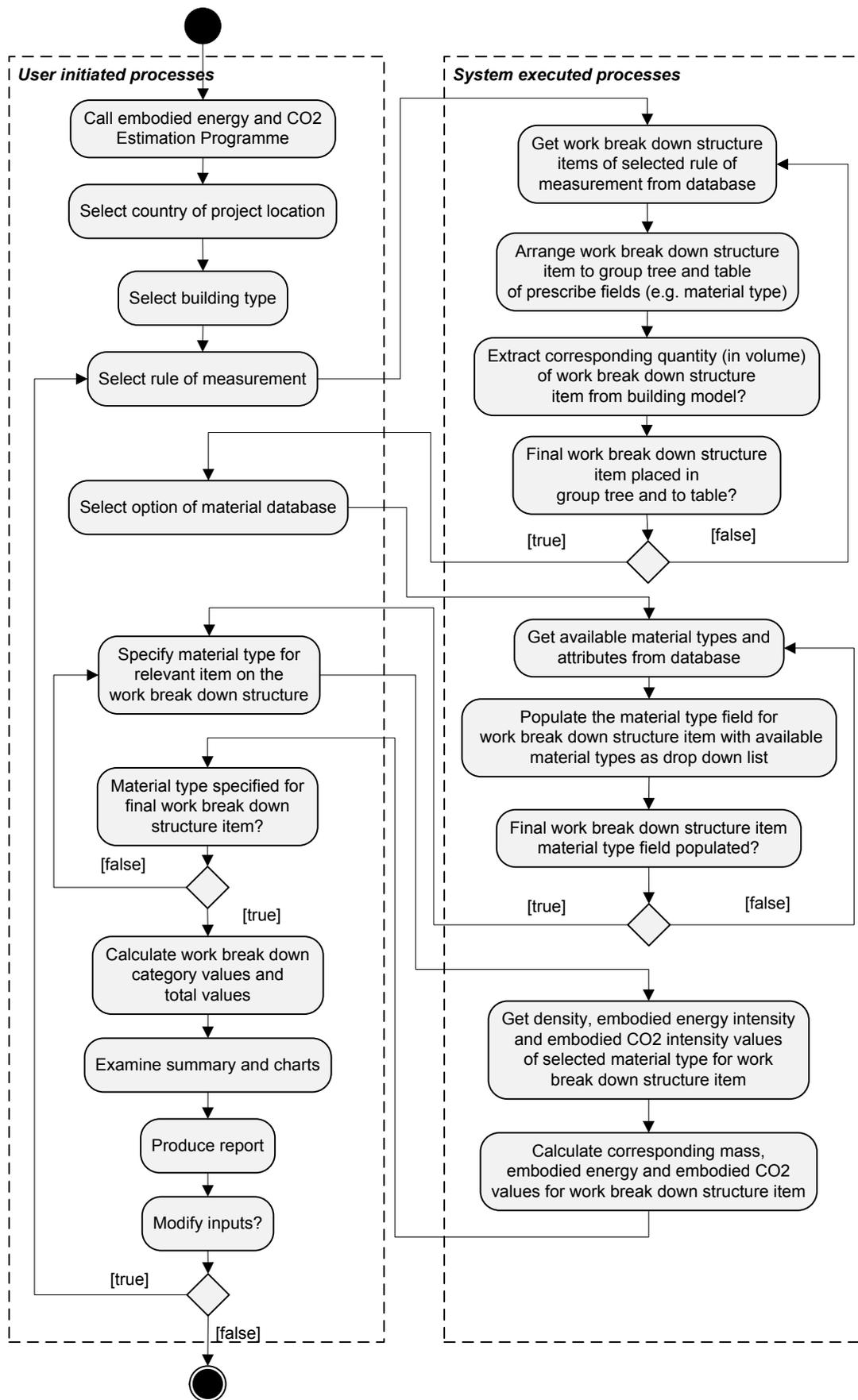
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456 **Fig. 2.** An excerpt of the NRM 1 of measurement ontology

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5. Framework Implementation

The implementation algorithm of the proposed system is presented in Figure 3. It is a simplified flow chart of actions and processes split into two blocks: user initiated process and the system executed processes. Actions and processes carried out by the user fall under user initiated processes while the corresponding feedback of the system and subsequent system triggers required in completing the various steps are captured under system executed process. Three key parameters need to be considered before commencing the embodied energy and CO₂ assessment process. The project location, type of house and the rule of measurement need to be provided by the user. The latter determines the work break down concepts which serve as placeholders for the editing of corresponding material drawn from the system database. Once this process is repeated for all required material, the automatic computation of embodied energy and CO₂ is triggered and results aligned with NRM 1.



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Fig. 3. Algorithm for NRM based embodied energy and CO₂ assessment

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6.1 Transformation of the ontology for use in the proposed system

As mentioned earlier, a total of 942 concepts from the NRM 1 have been captured in Protégé-OWL. Producing a NRM 1 XML format of the ontology from Protégé-OWL made it possible to load the generated XML based NRM 1 work break down structure into Navisworks Manage 2015 from where it was exported to MS Excel spreadsheet. The choice of Navisworks is based on the fact that it can be used to perform quantity take-offs (QTO) while the orderly hierarchical structure of the developed NRM 1 XML-based ontology is preserved. However, before making a firm decision to use Navisworks, authors explored other similar software such as BIMiTs and Solibri Model Checker. BIMiTs functions as an extension (add-in) for Autodesk Revit offering solutions for workflows and information exchange with structural analysis/detailing packages and spreadsheets such as Excel. On the other hand, Solibri Model Checker™ is used in analysing building information models for integrity, quality and physical safety to reveal potential flaws and weaknesses in the design, clashing components and compliance with the building codes/best practices. While these packages are great in enhancing the process of information exchange they are limited in accommodating the structuring of exported data to prescribed standard measurement format such as NRM 1.

Although, QTO can be performed in Revit, it is not a specialised tool for QTO. This is exacerbated by the fact that, once quantities are generated from Revit, the output is not aligned to any standard measurement methods and hence not structured. Specialised QTO (e.g. Navisworks) and cost estimating tools allows for quantities to be aligned and hence structured in an orderly and easy to read manner. Similar to Unifomat, CSI-16 and CSI-48, having the NRM 1 in Navisworks allow for quantities to be taken off from an imported model from any BIM authoring tool in a format understandable and readable by Navisworks. Navisworks can read formats such as IFC, .RVT, DWG, etc. Once the model is in Navisworks, then QTO can be conducted in alignment with the NRM 1. Reading the developed NRM 1 – XML based ontology with Excel from Protégé-OWL without Navisworks as intermediary led to a huge loss in the structure and number of concepts. When Navisworks is used as an intermediary the loss of structure and number of concepts is minimised. The output from Navisworks is presented in Figure 4. There were a total of 6 level groups of information (Figure 4) (i.e., Groups (Group i : $i = 1 \dots 6$) representing column

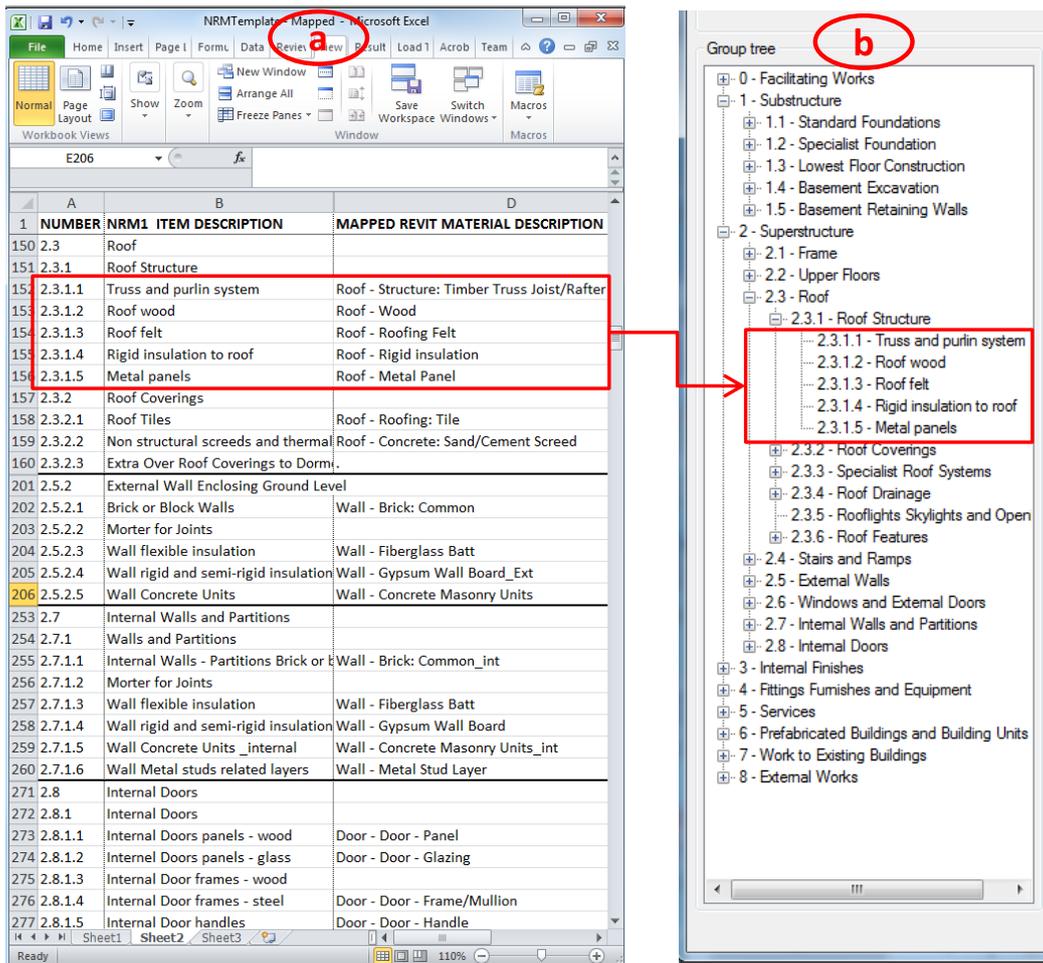
506 headings. The task was then to create programming loops to abstract information from these
 507 6 Groups.
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Number	Group1	Group2	Group3	Group4
258	2.2.3.4.1	Superstructure	Upper Floors	Commissioning of Installations
259	2.3	Superstructure	Roof	
260	2.3.1	Superstructure	Roof	Roof Structure
261	2.3.1.1	Superstructure	Roof	Roof Structure
262	2.3.1.2	Superstructure	Roof	Roof Structure
263	2.3.1.3	Superstructure	Roof	Roof Structure
264	2.3.1.4	Superstructure	Roof	Roof Structure
265	2.3.1.5	Superstructure	Roof	Roof Structure
266	2.3.2	Superstructure	Roof	Roof Coverings
267	2.3.2.1	Superstructure	Roof	Roof Coverings
268	2.3.2.2	Superstructure	Roof	Roof Coverings
269	2.3.2.3	Superstructure	Roof	Roof Coverings
270	2.3.2.4	Superstructure	Roof	Roof Coverings
271	2.3.2.5	Superstructure	Roof	Roof Coverings
272	2.3.2.6	Superstructure	Roof	Roof Coverings
273	2.3.3	Superstructure	Roof	Specialist Roof Systems
274	2.3.3.1	Superstructure	Roof	Specialist Roof Systems
275	2.3.4	Superstructure	Roof	Roof Drainage
276	2.3.4.1	Superstructure	Roof	Roof Drainage
277	2.3.4.2	Superstructure	Roof	Roof Drainage
278	2.3.4.3	Superstructure	Roof	Roof Drainage
279	2.3.4.4	Superstructure	Roof	Roof Drainage
280	2.3.5	Superstructure	Roof	Rooflights Skylights and Openings
281	2.3.5.1	Superstructure	Roof	Rooflights Skylights and Openings
282	2.3.6	Superstructure	Roof	Roof Features
283	2.3.6.1	Superstructure	Roof	Roof Features
284	2.4	Superstructure	Stairs and Ramps	
285	2.4.1	Superstructure	Stairs and Ramps	Stair Ramp Structures
286	2.4.1.1	Superstructure	Stairs and Ramps	Stair Ramp Structures
287	2.4.1.1.1	Superstructure	Stairs and Ramps	Stair Ramp Structures

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Fig. 4. NRM 1 ontology template spreadsheet

513 The level of detail on the sixth group or column is such that the fifth and in some cases the
 514 fourth level is repeated as a single entry but this was to allow for future expansion of the
 515 ontology. As such, up to the fourth group level was covered and a total of 885 entries were
 516 abstracted from the XML based NRM 1 work break down structure. This is less by 57
 517 concepts in the original NRM 1 ontology developed in Protégé-OWL. In order to conform to
 518 existing structure of traditional bill of quantities and to enhance the mapping of information
 519 from Revit material database the 57 concepts were manually edited into our proposed system.
 520 For example, in the Group 4 column, entry numbers 2.3.1.1 to 2.3.1.5 has been manually
 521 edited to Truss and purlin system, Roof wood, Roof felt, Rigid insulation to roof, Roof felt
 522 and Metal plate and mapped to Revit material database (see Figure 5).



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525 **Fig. 5.** Transforming NRM 1 XML based concepts to the proposed system (a) Mapped NRM

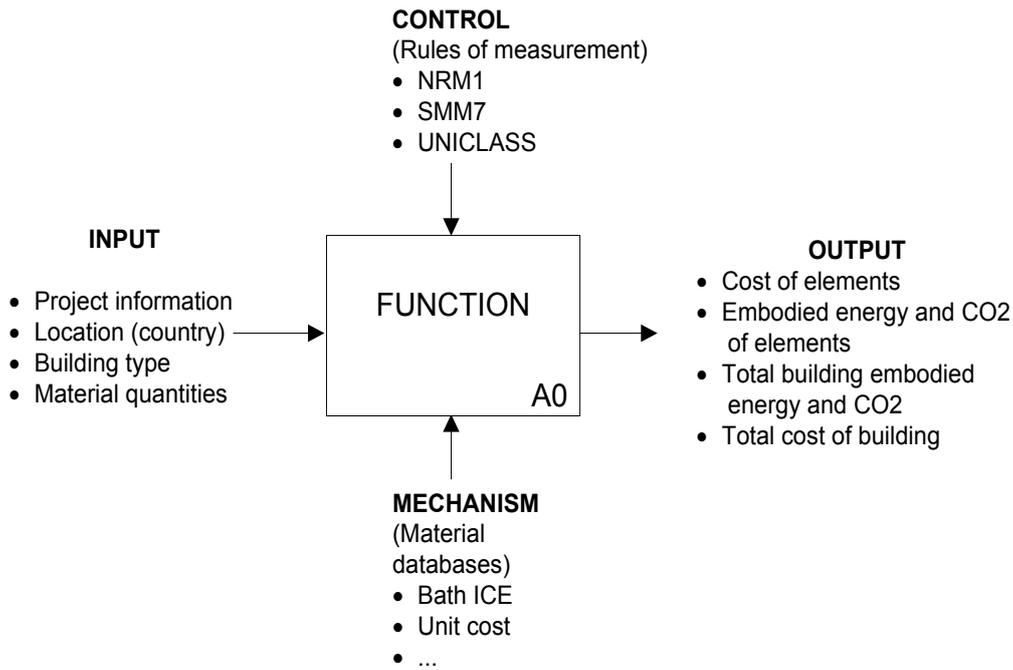
526 1 template with Revit material description (b) Resulting tree nodes in proposed system

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528 **6.2 System architecture**

529 The concept of the model implementation is captured in the system architecture illustrated in

530 Figure 6.



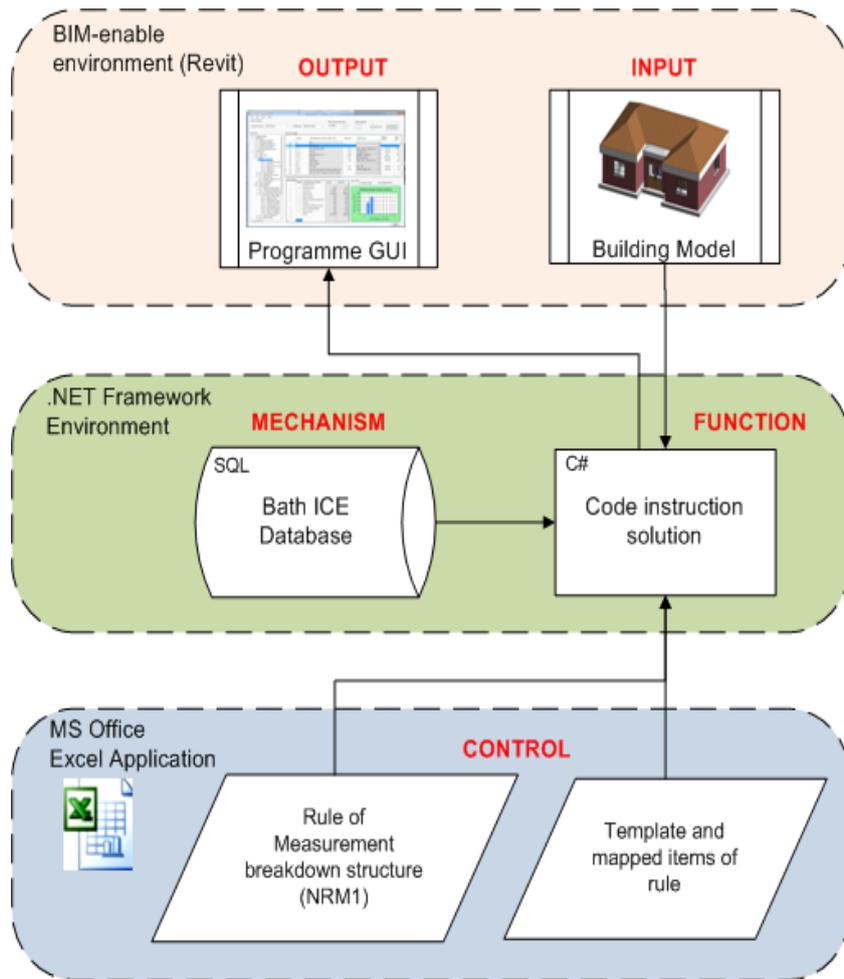
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Fig. 6. System architecture

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534 Figure 6 is an IDEF0 (Icam (*Integrated Computer Aided Manufacturing*) DEFinition for
 535 Function Modelling 0) representation of key parts of the implementation. On the input side,
 536 the project information together with the building type and material quantities of items serves
 537 as the requirements supplied by the designer or user for the programme to commence. The
 538 items are listed based on the selected standard rules of measurement method which is the
 539 Control. On the part of the Mechanism, the material database of density, embodied energy
 540 and CO₂ intensities work as the elements for the system to calculate the actual embodied
 541 energy and CO₂ values based on the supplied items and their quantities in volume. The
 542 volume of the material is combined with density values obtained from the database to
 543 calculate the mass which is subsequently used in the process to compute actual embodied
 544 energy and CO₂ parameters of the items. Also obtained from the database are embodied
 545 energy and CO₂ intensity values of materials for the computation. These are further combined
 546 to yield the work break down structure values and the total values as the output of the system.
 547 The details captured in Figure 6 have been expanded and presented in Figure 7.



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Fig. 7. System implementation modules

552 The programme, implemented in C#, is basically made of three modules. The first module is
553 the MS Excel application spreadsheets containing the grouped information of NRM 1 rule of
554 measurement work break down structure with a consistent supporting mapped items template
555 file. The NRM 1 work break down structure grouped information serves as source file for
556 composing the tree structure to facilitate moving around the work break down structure
557 categories and the list of items. The mapped item template on the other hand controls the
558 loading of work break down structure items into the system (Function) and placement of
559 volume information extracted from the model into a data grid. This module has the potential
560 of being expanded to take more templates such as Standard Method of Measurement 7
561 (SMM7), Civil Engineering Standard Method of Measurement (CESMM) and Unified
562 Classification for the Construction Industry (UNICLASS). Operations in the .NET
563 environment make up the second module. A structured query language (SQL) database and

564 the C# code instruction solution are contained in this module. The database information is
565 compiled from existing material databases such as the Bath Inventory of Carbon and Energy
566 (Bath ICE) used in this implementation. Other material databases, if and when available can
567 be incorporated into the database. The SQL database is embedded in the C# environment
568 where the actual programme coding instructions have been instantiated. The coding takes
569 advantage of the object-oriented nature of the language to achieve intended goals. The third
570 module is the BIM-enable environment where the programme is initiated, triggering the input
571 into the system and corresponding output of responses in the graphical user interface (GUI).
572 The program is linked to the BIM environment as external add-in tool through an
573 implemented Application Programming Interface (API) application. The key inputs are
574 quantities of materials automatically extracted from the building model. The quantities can be
575 edited or optionally entered manually. The output consists mainly of the Embodied Energy
576 and CO₂ Windows Form. The form contains all the visual display of the programme. It
577 provides the medium for entering other input information and displaying output responses.
578 Underlying the form is the earlier mentioned second module (i.e. Mechanism and Function
579 implementation in .NET Framework) which is a combination of programming instantiations
580 and mathematical algorithms simulating material information from the database in
581 accordance to the specified rules of measurement. Figure 8 shows the dependency diagram
582 generated in the C# environment.
583

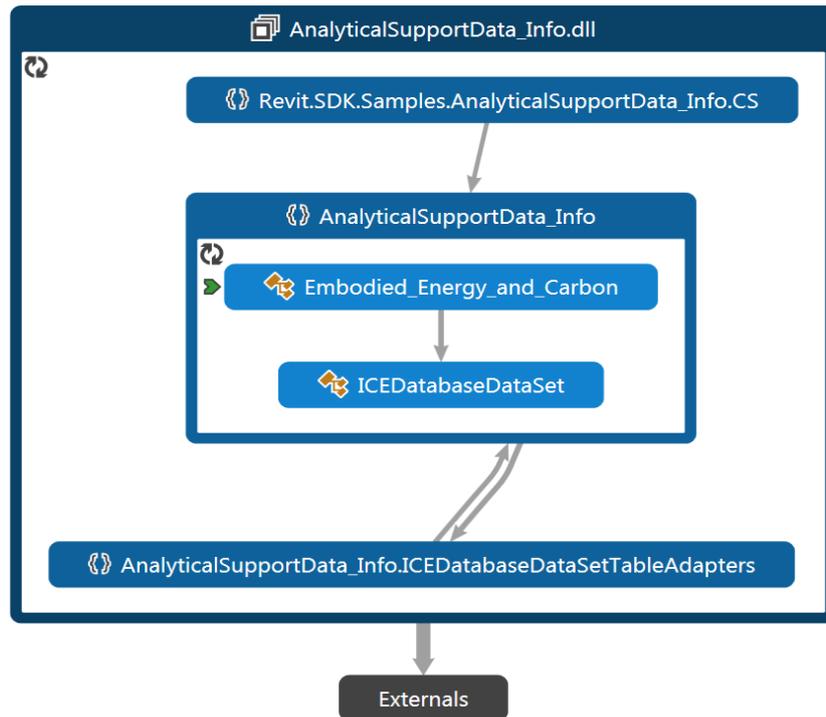


Fig. 8. System dependency diagram

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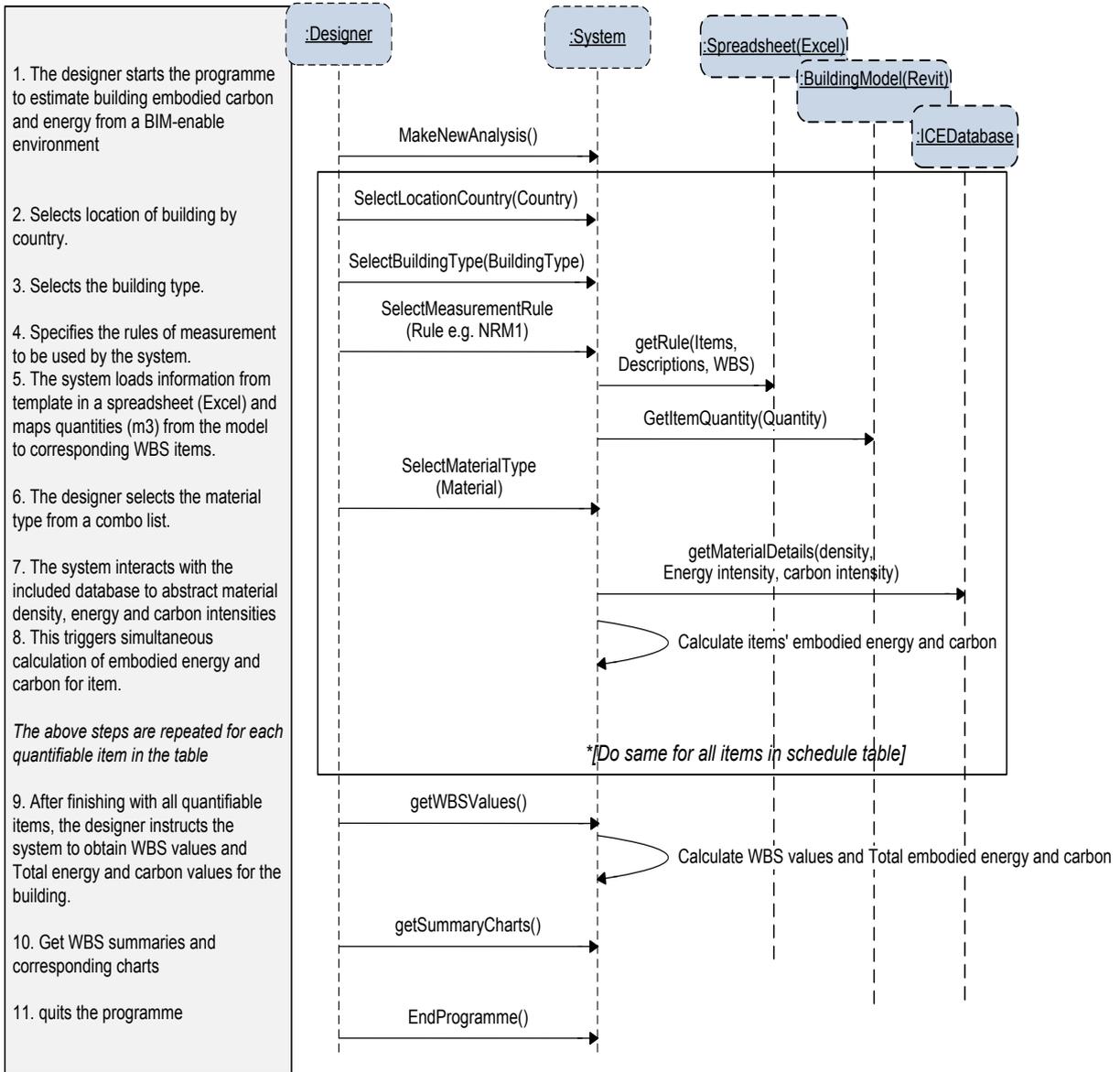
587 In Figure 8, the AnalyticalSupportData_info.dll is the external command handle through
 588 which Revit program calls the proposed embodied energy and CO₂ analysis programme. The
 589 Externals block contains the .dll reference files for Revit API, Windows and System
 590 operations. The graphical user interface of the proposed programme is the Windows form
 591 represented by Embodied_Energy_and_Carbon in the figure. It has direct link to the
 592 ICEDatabaseDataSet which is generated from the SQL database of Bath ICE material
 593 database, all operating under the AnalyticalSupportData_info programming namespace.

594

595 **6.3 System operation**

596 In this implementation, the key is the extraction of quantities from a BIM authoring software.
 597 There are two approaches - one manual and the other automatic. In the manual, the user can
 598 generate quantities from a BIM authoring software, in this case Revit and manually enter
 599 them into the system. In the automatic process, the system automatically extracts quantities of
 600 the different building components from the building model in Revit environment and fits
 601 them into in the New Rules of Measurement catalogues. We opted for the latter as it is
 602 quicker and not prone to errors like the manual. The automatic extraction and alignment to

603 the UK New Rules of Measurement are key contributions of this study. The operation of the
 604 program is illustrated in Figure 9.



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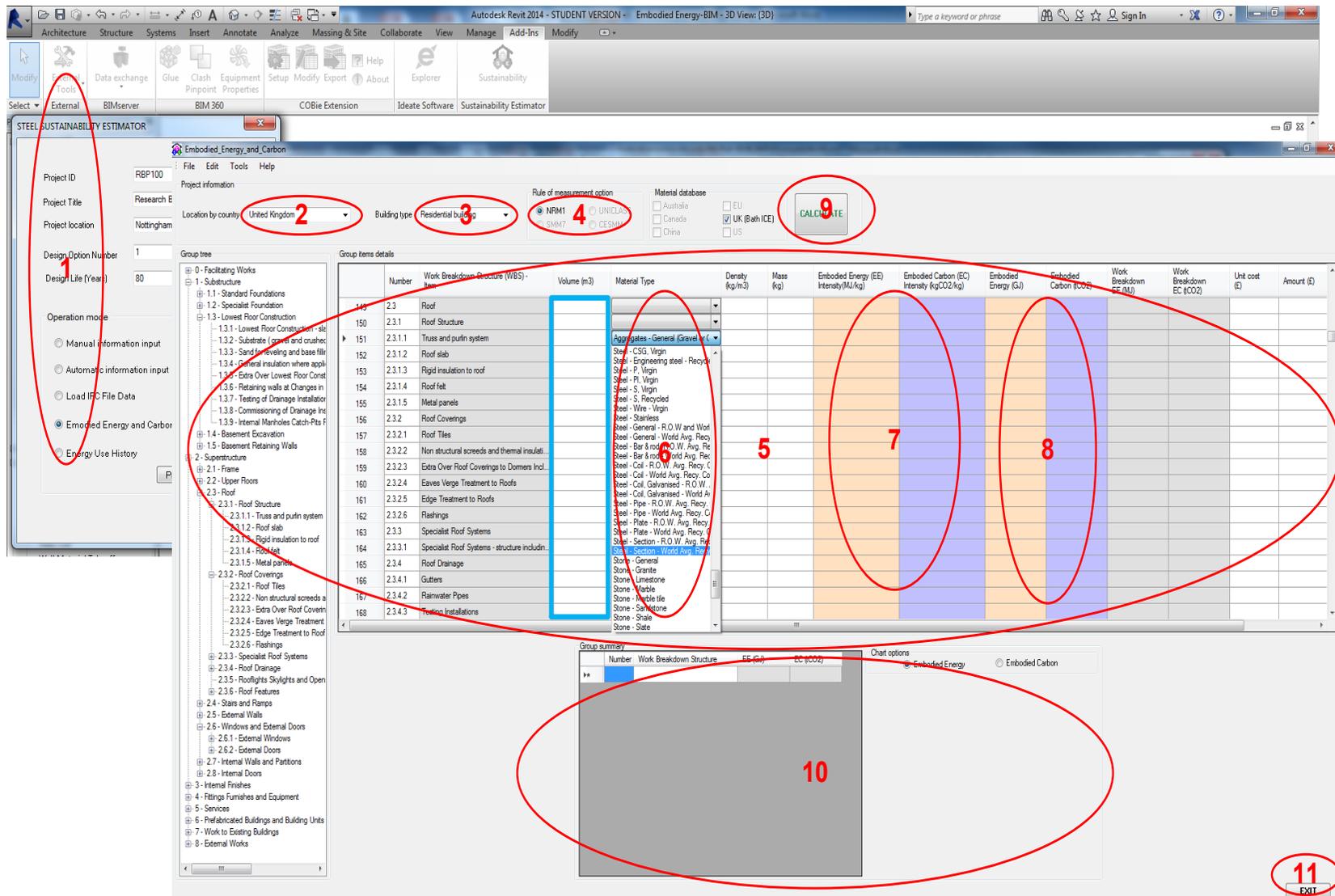
Fig. 9. System sequence diagram

609 Figure 9 is a system sequence diagram outlining the functions of the designer/user and the
 610 system. The sequence diagram has been programmed as depicted in the Graphical User
 611 Interface of the system presented in Figure 10 for clarity purposes. The operation can be
 612 carried out in 11 major steps from start to finish. When the programme is (1) called from a
 613 BIM-enabled environment, the designer is required to supply project information such as (2)
 614 project name and location and (3) the building type before (4) selecting the rule of
 615 measurement; in this case NRM 1 is to be used. In response to this, (5) the system loads the

616 NRM 1 template from an accompanying Microsoft Excel spreadsheet in the system project
617 folder. The spreadsheet is developed as part of the Control module (See Figure 7) of the
618 system and contains the mapped information for NRM 1 item and elements in the building
619 model. The advantage of having this information in a spreadsheet is to allow for easy
620 updating of the template and for expansion to including templates of other existing rules of
621 measurement. The loading of the template into the program simultaneously triggers the
622 quantities (in volume) of materials abstracted from the building model to be placed against
623 corresponding mapped work break down structure items. The user (6) then selects the
624 corresponding material type (from a comboBox) for the item as outline in Figure 9. The
625 combo list is that of materials contained in Bath ICE material database. The selection of the
626 associated material type (7) triggers the system to communicate with material database to get
627 the density, energy and CO₂ intensities and (8) the subsequent calculation of the item's
628 embodied energy and CO₂. This is carried out for all the mapped quantifiable items from
629 where the work break down structure categories and total energy and CO₂ values of the house
630 model (9) can be calculated on the instruction of the system by the designer. The designer
631 (10) can proceed to produce a summary of the computations and corresponding charts and
632 eventually (11) quit the programme.

633

634 Furthermore, it is important to note the interface in Figure 10 is the first view when the
635 system is launched. It functions as an extension of a plugin application, similar to that of an
636 earlier research work on the sustainability appraisal of structural steel framed building (Oti
637 and Tizani, 2015). Data values appear on the interface only when information from building
638 model has been extracted from the Revit programme shown on the background. Information
639 that is extracted from Revit includes building component names and their corresponding
640 volumes. The remaining data such as densities of materials, embodied energy and CO₂
641 intensities are in-built into the database of the system and automatically links to building
642 components that comes from Revit.



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Fig. 10. GUI steps for operating the proposed system

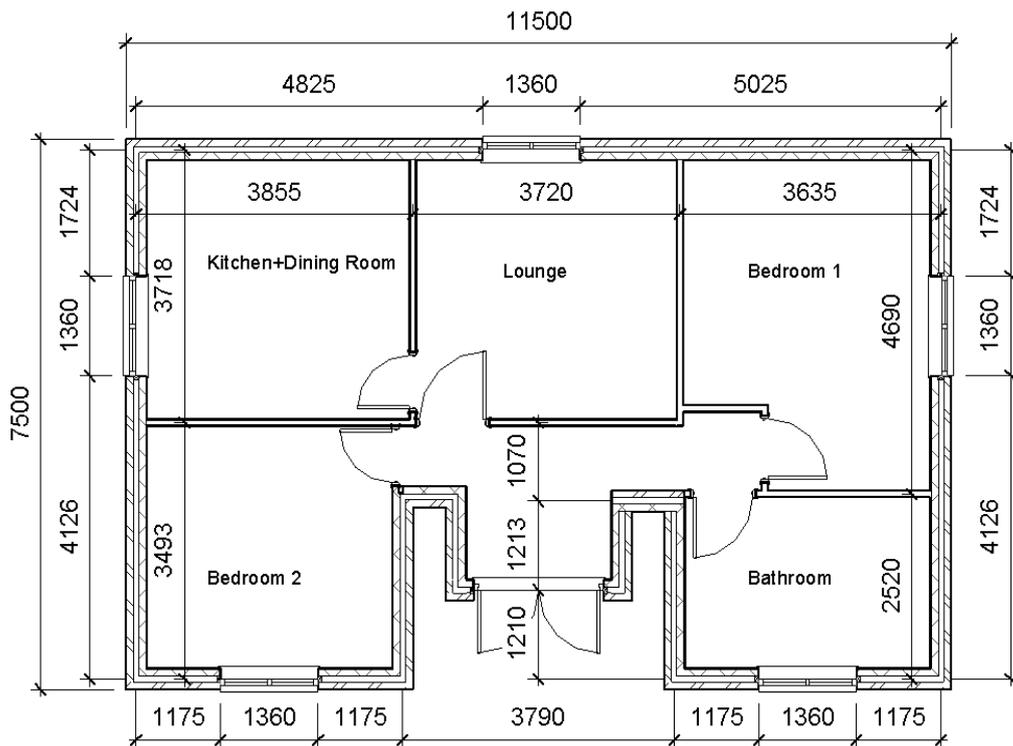
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646 6. Case study application

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648 7.1 Description of a case study

649 In this study, a house was chosen to allow for very quick evaluation and validation of
650 computational results. The house consists of a ground floor, lounge, 2 bedrooms, 1 bath
651 room, a kitchen and a dining room. The gross floor area (GFA) is 84.41m². The floor plan is
652 indicated in Figure 11 while the 3D model is presented in Figure 12.



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655 **Fig. 11.** Floor plan of the case study

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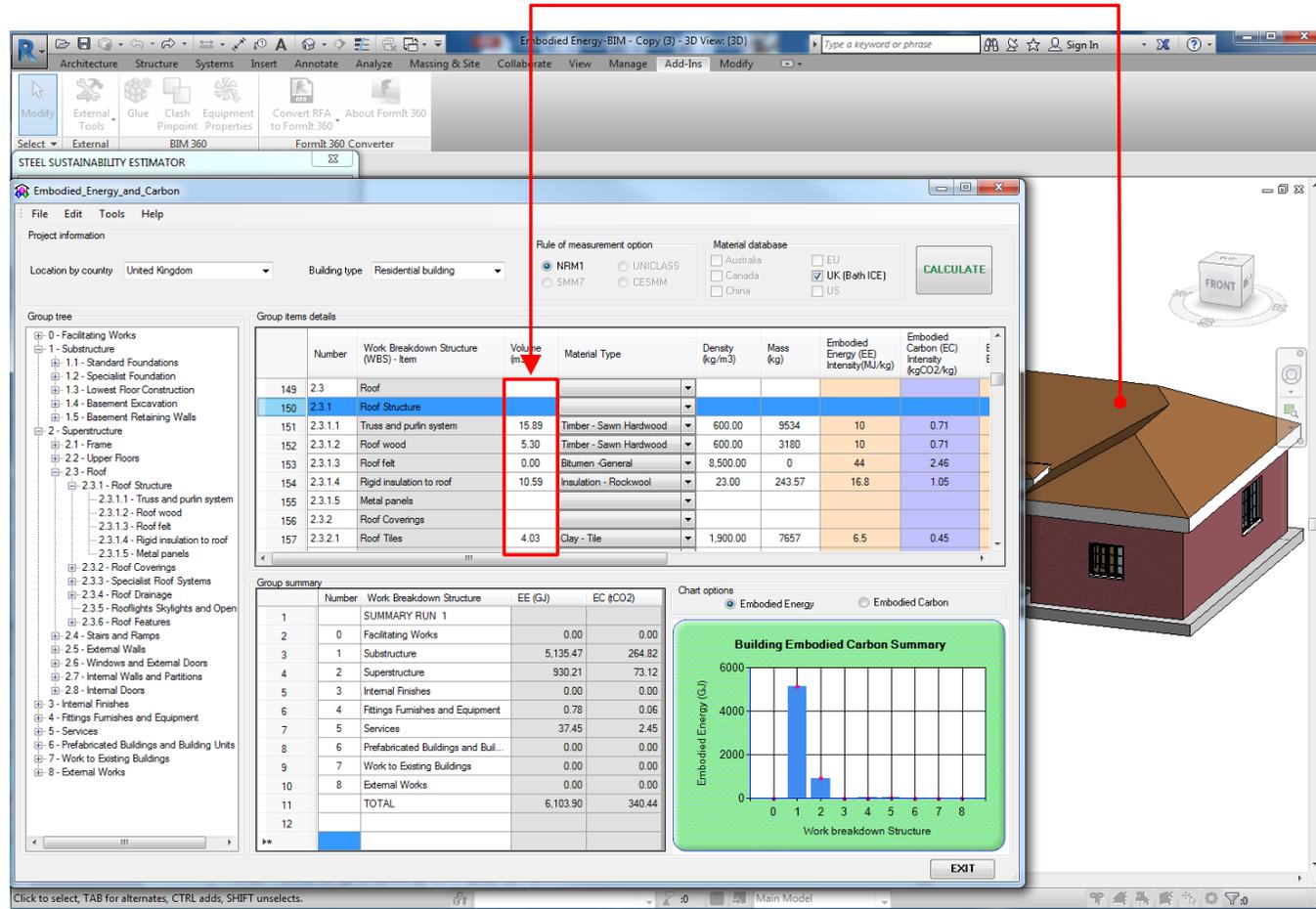
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657 **Fig. 12.** 3D model of case study
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659 **7.2 Application**

660 In this section the application of the system on a case study house will be discussed. The
661 house is modelled in Revit, one of the leading BIM authoring software tools used in the
662 construction industry. A script is written to read and import information from the model in
663 Revit to the interface presented in Figure 13. The quantities are automatically extracted from
664 the BIM model and inserted in the different NRM concepts under the Volume column
665 discussed in Section 6.3. Once the volumes of components are extracted, all other
666 computations are generated automatically. This includes the mass of the material item,
667 embodied energy and CO₂ intensities and the corresponding embodied energy and CO₂ values
668 according to set data grid columns. Also the total for each work break down structure is
669 calculated and placed in the summary table with simultaneous chart output shown in Figure
670 13. The computations are based on the matrix Equation 2. On the completion of analysis, the
671 embodied energy and CO₂ form is visibly divided into 4 group box areas. The first is the
672 Project information which houses the command tools for specifying inputs for project
673 location, building type, rules of measurement, material database and the calculate button to
674 execute an analysis. Next is the Group tree box. Here, the NRM 1 is displayed in the work
675 break down structure hierarchy developed from the NRM 1 electronic ontology discussed in
676 the fourth section. The tree helps in navigating around the work break down structure items in

677 the data grid of Group item details which is the third box. The data grid is a listing of all the
678 relevant items in the NRM 1 work break down structure and provides traditional spreadsheet
679 cells (as expanded in Figure 10) containing corresponding abstracted volume values and
680 calculated information about embodied energy and CO₂ of a house. Group summary is the
681 fourth which shows a summary of the eight work break down structure categories of
682 embodied energy and CO₂ values, including the total for the house. This group box also
683 contains these summarized categories displayed as a chart, optionally for embodied energy or
684 CO₂.

Quantities extracted from model



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Fig. 13. A GUI of the system for automatic embodied energy and CO₂ computation

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7.3 Results, validation and analysis

There are two main challenges of this study. The first is to automatically align or map building components to NRM 1 concepts while the second is to extract quantities from Revit to fit with NRM 1 concepts. The system is intelligent to extract the building components from Revit and fits them according to the different concepts in the NRM 1 catalogue. The mapping result is presented in Figure 14.

NUMBER	NRM1 ITEM DESCRIPTION	MAPPED REVIT MATERIAL DESCRIPTION
201	2.5.2 External Wall Enclosing Ground Level	
202	2.5.2.1 Brick or Block Walls	Wall - Brick: Common
203	2.5.2.2 Mortar for Joints	
204	2.5.2.3 Wall flexible insulation	Wall - Fiberglass Batt
205	2.5.2.4 Wall rigid and semi-rigid insulation	Wall - Gypsum Wall Board_Ext
206	2.5.2.5 Wall Concrete Units	Wall - Concrete Masonry Units
253	2.7 Internal Walls and Partitions	
254	2.7.1 Walls and Partitions	
255	2.7.1.1 Internal Walls - Partitions Brick or block units	Wall - Brick: Common_int
256	2.7.1.2 Mortar for Joints	
257	2.7.1.3 Wall flexible insulation	Wall - Fiberglass Batt
258	2.7.1.4 Wall rigid and semi-rigid insulation	Wall - Gypsum Wall Board
259	2.7.1.5 Wall Concrete Units _internal	Wall - Concrete Masonry Units_int
260	2.7.1.6 Wall Metal studs related layers	Wall - Metal Stud Layer

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Fig. 14. Mappings of building components from Revit to NRM 1 concepts

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As shown in Figure 13, the quantities of the material components of the house model are extracted, in accordance with the mappings, to the Volume column (in the Group item details groupBox) of the Embodied Carbon and Energy estimation tool. Olatunji and Sher (2014) argued whether estimates can be reliably generated on the basis of BIM data. This brings into question the accuracy of results generated from BIM systems, especially given it is still

704 emerging. Also, given that the main focus of this study is the alignment of quantities with
705 NRM 1, while the total quantity of the model may be accurate, it is important to check
706 whether the quantities from individual components of the proposed system have been
707 accurately extracted and not mixed up especially for items in different categories (external
708 and internal) of walls made up similar composite materials. Therefore it is imperative to
709 establish whether the system sorts out quantities and aligns them accurately with NRM 1 or it
710 mixes or inserts the quantities in the wrong or correct location. The second criterion
711 considered was the standard error. How does the system output differ from manual
712 computational results? The last but not the least criterion was whether quantities were
713 extracted from all the different building components including Services or MEP? In addition
714 to the case study building, 6 other buildings presented in Table 1 were used in verifying the
715 validation criteria. Different types of shapes present different levels of complexity especially
716 at the joints when modelling in BIM tools (Bazjanac, 2001). Based on shapes, number of
717 floors, slopes of roofs and sizes parameters, additional 6 houses were selected and explored
718 using the proposed system. To facilitate understanding, an illustration of how the standard
719 error was computed for the roof structure, external and internal walls have been presented in
720 the ensuing section. In addition to the standard error results, the results of the other two
721 criteria for all the 7 case study houses have been presented in Table 1 in the Appendix.

722

723 ***7.4 Roof structure and roof covering***

724 The output for roof structure is presented in Figure 15. The system generates volumes for
725 different roof components as indicated in the volume column in Figure 15. To verify whether
726 the volume values were correct or not, we went back to the model in Revit and manually
727 computed the volumes and the results confirmed as presented with very insignificant
728 differences. For example, from the quantity take-off, the areas of the small and bigger roofs
729 were 4m^2 and 102m^2 respectively. The thickness of the tiles is 50mm. Therefore the volume
730 is 5.3m^3 (i.e. $(4+102)*0.05$) compared to 5.11m^3 extracted from Revit into our proposed
731 system. Once the volume is pulled into the system, the corresponding density, embodied
732 energy and CO_2 intensities also appear and all other computational results such as mass in kg,
733 embodied energy (GJ) and CO_2 in tCO_2 are generated automatically.

	Number	Work Breakdown Structure (WBS) - Item	Volume (m3)	Material Type	Density (kg/m3)	Mass (kg)	Embodied Energy (EE) Intensity(MJ/kg)	Embodied Carbon (EC) Intensity (kgCO2/kg)	Embodied Energy (GJ)	Embodied Carbon (tCO2)	Work Breakdown EE (MJ)	Work Breakdown EC (tCO2)	Unit cost (£)	Amount (£)
149	2.3	Roof												
150	2.3.1	Roof Structure												
151	2.3.1.1	Truss and purlin system	15.89	Timber - Sawn Hardwood	600.00	9534	10	0.71	95.34	6.76914				
152	2.3.1.2	Roof wood	5.30	Timber - Sawn Hardwood	600.00	3180	10	0.71	31.8	2.2578				
153	2.3.1.3	Roof felt	0.00	Bitumen -General	8,500.00	0	44	2.46	0	0				
154	2.3.1.4	Rigid insulation to roof	10.59	Insulation - Rockwool	23.00	243.57	16.8	1.05	4.091976	0.2557485				
155	2.3.1.5	Metal panels												
156	2.3.2	Roof Coverings												
157	2.3.2.1	Roof Tiles	4.03	Clay - Tile	1,900.00	7657	6.5	0.45	49.7705	3.44565				
158	2.3.2.2	Non structural screeds and thermal insulation and surface treatments	1.32	General - Insulation	140.00	184.8	45	1.86	8.316	0.343728				
159	2.3.2.3	Extra Over Roof Coverings to Dormers Including Cladding to Dormer Cheeks												

Fig. 15. Roof item entries

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Group items details														
	Number	Work Breakdown Structure (WBS) - Item	Volume (m3)	Material Type	Density (kg/m3)	Mass (kg)	Embodied Energy (EE) Intensity(MJ/kg)	Embodied Carbon (EC) Intensity (kgCO2/kg)	Embodied Energy (GJ)	Embodied Carbon (tCO2)				
199	2.5.1.9	Extra Over Projecting Fins for Applied Artwork												
200	2.5.2	External Enclosing Ground Level												
201	2.5.2.1	Brick or Block Walls	10.55	Bricks - General (Common Brick)	2,000.00	21100	6.9	0.53	145.59	11.183				
202	2.5.2.2	Mortar for Joints												
203	2.5.2.3	Wall flexible insulation	7.72	Insulation - Fibreglass (Glassw...)	25.00	193	28	1.35	5.404	0.26055				
204	2.5.2.4	Wall rigid and semi-rigid insulation	1.29	Insulation - Woodwool (Board)	160.00	206.4	20	0.98	4.128	0.202272				
205	2.5.2.5	Wall Concrete Units	10.29	Concrete 1:2:4	2,400.00	24696	0.82	0.116	20.25072	2.864736				

Fig. 16. External walls

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739

740 **7.5 External walls and internal walls**

741 The quantities extracted from the external walls are presented in Figure 16. Similarly, all the
742 components of the external walls were manually computed using the model in Revit or Figure
743 9, and the results were not significantly different from the one pulled from the Revit model.
744 For example, the manual computation of the brick or block walls can be obtained using the
745 formula 6.

746
$$\text{Volume} = \text{Perimeter} * \text{Thickness} * \text{Height} \quad (6)$$

747
$$= (7.5*2+11.5+4*2+2.48*2+0.4525*2+1.27*2+0.395*2)*0.1025*2.6\text{m}^3$$

748
$$= 11.64\text{m}^3$$

749 The computed volume is 11.64m^3 compared to 10.55m^3 , which is not significant. For internal
750 walls, the same procedure has been applied and results presented in Figure 17. For the
751 internal walls, the height is 2.6m, the thickness of insulation is 12.5mm and perimeter is 35m.
752 By using Equation 6, the volume of the insulation can be computed as:

753
754
$$\text{Volume} = 35*0.0125*2.6\text{m}^3$$

755
$$= 1.14\text{m}^3$$

756 The results from the manual computation of the insulation is not significantly different from
757 the 1.13m^3 pulled from the BIM model using our system.

758

759 To determine the accuracy of the volumes extracted by the system from the Revit model, we
760 computed and compared the standard errors from the extracted volumes to those computed
761 from manual measurements. For the case of the extracted volumes, the number of data n
762 corresponding to the number of building components is 58 and the mean and standard
763 deviation are 4.5m^3 and 6.42m^3 respectively. Using these values the standard error is
764 computed by dividing the standard deviation by the square root of $n = 58$. Thus the standard
765 error obtained is 0.84m^3 . Similarly for the manual computed volumes from the model, the
766 mean and standard deviation were 4.3m^3 and 6.8m^3 for the same data sample of 58. Using
767 these values the standard error was 0.89m^3 . The two standard errors are significantly closed.
768 Lower or smaller standard errors indicate the more precise estimates or accuracy of the
769 extracted values.

770

771

Group items details										
	Number	Work Breakdown Structure (WBS) - Item	Volume (m3)	Material Type	Density (kg/m3)	Mass (kg)	Embodied Energy (EE) Intensity(MJ/kg)	Embodied Carbon (EC) Intensity (kgCO2/kg)	Embodied Energy (GJ)	Embodied Carbon (tCO2)
252	2.7	Internal Walls and Partitions								
253	2.7.1	Walls and Partitions								
254	2.7.1.1	Internal Walls - Partitions Brick or block units								
255	2.7.1.2	Mortar for Joints								
256	2.7.1.3	Wall flexible insulation								
▶ 257	2.7.1.4	Wall rigid and semi-rigid insulation	1.13	Insulation - Woodwool (Board)	160.00	180.8	20	0.98	3.616	0.177184
258	2.7.1.5	Wall Concrete Units								
259	2.7.1.6	Wall Metal studs related layers	3.18	Steel - General - World Avg. R...	7,850.00	24963	45.4	3.05	1133.3202	76.13715

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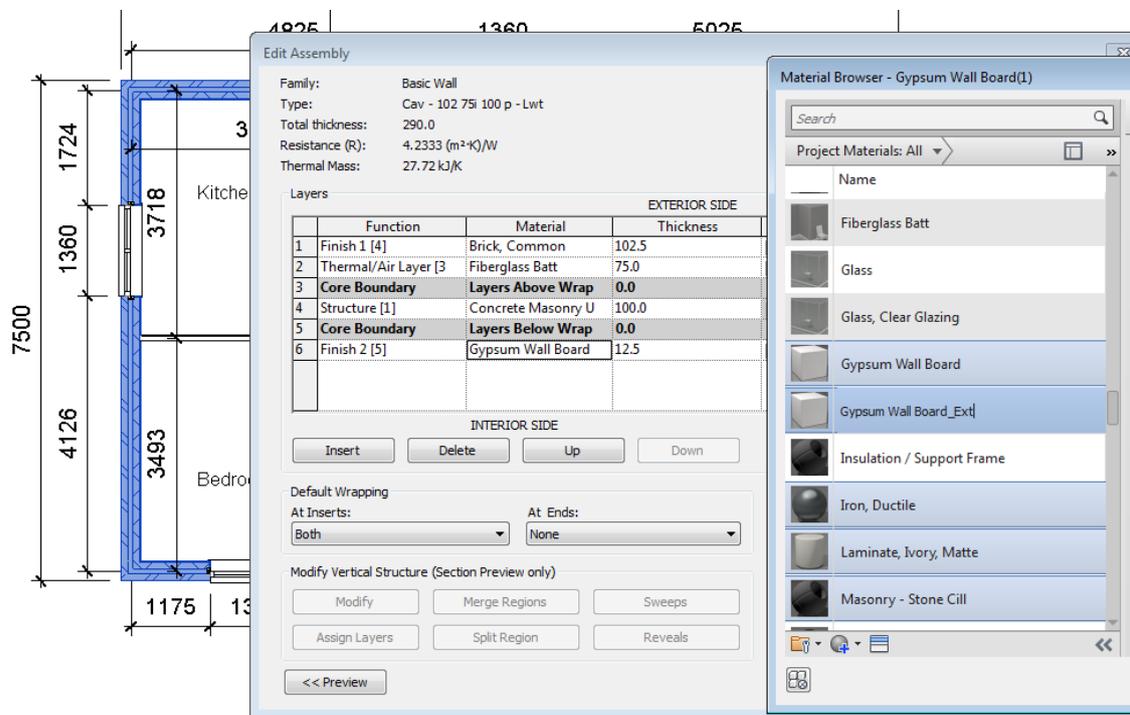
Fig. 17: Internal walls

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7. Challenges and future research

8.1 Quantity of plasterboard of internal walls and external walls being mixed if they are made of the same material type.

In extracting the quantities from the Revit model, the system summed the volumes of similar objects belonging to different components. For example, the type of plasterboard chosen for the internal wall and external wall were the same with name Gypsum plaster board. When the quantities are extracted for walls, the volumes for the Gypsum plasterboard are summed and presented as if the plasterboard belongs to only one of the components. This is wrong as the different volumes should appear under external wall and internal walls. To overcome this challenge, two solutions are proposed. The first is to rename the different Gypsum boards differently in the model before importing, for example, Gypsum board (for internal) and Gypsum board_ext. The second solution is to choose different material types of the Gypsum board for the internal and external walls. We tried both methods and they worked, although we adopted the first option in this study as can be seen on the right of Figure 18.



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Fig. 18. Changing the name of type of insulation before exporting to the proposed system

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797

798 ***8.2 Structure of Bath ICE data***

799

800 The Bath ICE database contains information for numerous numbers of materials used in
801 construction. However, a few of the material entries have incomplete information. For
802 example, Felt General, listed under the miscellaneous group of materials has no entry for
803 embodied carbon intensity value. As such, a close substitute (Bitumen General) was used.
804 Also, there are some material embodied energy and carbon intensity values that were entered
805 as range (e.g. Rubber) or with question mark (e.g. Damp Proof Course/Membrane) indicating
806 level uncertainty. In the case of range entry, the maximum values were used and the question
807 mark was ignored in the latter case. In addition, the densities of some materials such as Paint
808 and Sealants & Adhesives were not found in the database. Lastly, the structure of the
809 database was not suitable to be used directly. Hence; the structure of information in the Bath
810 ICE material information spreadsheet had to be altered to be able convert them to
811 committable SQL database entries.

812

813 ***8.3 Different measurement units***

814 The computation of embodied energy and CO₂ are based on intensities expressed in the Bath
815 ICE. The intensities in the inventory are expressed in units/kg or units/kgCO₂. Hence,
816 quantities were extracted from Revit in volumes which can be converted to mass in kg. This
817 means, the system can only be used to compute corresponding cost of components that the
818 unit cost is expressed as per volume (see the volume and unit cost columns of Figure 10,
819 Section 6.3). However, in practice cost have different units including m², linear metres (m)
820 and lump sum and this will require to be modelled differently. We anticipate addressing this
821 issue as part of another study.

822

823 ***8.4 Impossibility in simultaneously working with Revit and the proposed tool***

824 The proposed tool is hosted on Revit platform as an add-in. As such, once an end-user is
825 working with the proposed tool, Revit needs to be running in the background. At the moment
826 it is not possible to work on Revit simultaneously while the proposed tool is running. It may
827 become possible to achieve this with future expansion of the proposed system.

828

829 **8. Discussions**

830 In this study, a total of seven houses with known information were modelled in Revit and
831 quantities extracted automatically and fed into the required volume placeholders in the
832 proposed system. The placeholders consist of concepts based on NRM 1. The automatic
833 insertion of QTO into a structured NRM 1 is a major solution to a problem that has plagued
834 professionals since the popularisation of BIM (Olatunji et al. 2010; Monteiro and Martins,
835 2013; Wu et al., 2014). As a reminder, the major problem is the disorderly nature of QTO
836 outputs from BIM authoring tools such as Revit and their non-alignment with standard
837 measurement methods. Cognisance of this, the Royal Institution of Chartered Surveyors, one
838 of the global leading chartered surveyor’s institute funded a study to investigate how BIM
839 can support the UK NRM (NRM 1) (Wu et al., 2014). The outcome of this study was
840 theoretical and one of the main recommendations was the need of an automated system for
841 generating quantities and alignment to NRM. As an application, once the quantities have
842 been automatically extracted and inserted into the NRM 1, the system then computes
843 embodied energy and CO₂ are computed in an automatic fashion while aligning the results to
844 the NRM 1. The major contributions of this study include the process model integrated BIM-
845 based framework for the automatic computation of embodied energy/CO₂ and cost (see
846 Figure 1) and the algorithmic process model for assessment of embodied energy and CO₂ (see
847 Figure 3). Other contributions that emerged from implementing the stated process models
848 (see Figures 1 and 3) include:

- 849 • an *algorithm* for extracting material quantities, computing embodied energy/CO₂ and
850 cost and aligning results to a NRM 1 in a BIM environment;
- 851 • a *program* that builds on the aforementioned algorithm for the automatic extraction of
852 quantities, computation of embodied energy/CO₂ and cost and aligning results to a
853 NRM 1 in a BIM environment;

854 Fitting/aligning the quantities and hence embodied energy and CO₂ computational results in
855 New Rules of Measurement concepts makes it easy to compare and align cost items of the
856 various work breakdown structure.

- 857 • a system that *integrates* the process of assessment of embodied energy/CO₂ and cost,
858 which allows the simultaneous determination of environmental impacts of different
859 building components and/or work break down structure together with its associated
860 cost.

861

862 However, there were some challenges experienced during the undertaking of this study. This
863 has been covered in detail in section 8. However, the limitation related to cost, embodied
864 energy/CO₂ units will be discussed. The units of measurement for cost of building material in
865 the proposed system is linked to volume (i.e. £/m³). Similarly, the units of embodied energy
866 and CO₂ edited in the proposed system were MJ/Kg and Kg/KgCO₂ respectively. This was
867 because we chose to use the Bath ICE that is constrained by these units. However, the units
868 of measurements of material quantities can be in linear metres, m² and lump sum. Also, it is
869 possible to have units of embodied energy to be in MJ/m² (Fridley et al., 2008). For now, it is
870 not possible to deal with two different units in one column in the proposed system. As part of
871 our future study we will investigate how the complete cost components can be further
872 developed to deal with measurement units such as linear metres, m² and lump sum. Also, an
873 investigation will be conducted to determine how embodied energy and CO₂ can be
874 computed in different units while aligning the results with NRM 1.

875

876 **9. Conclusions**

877 The overall aim of this study was to develop and test a system that automate the computation
878 of embodied energy and CO₂ of houses and align the results to existing UK standard rules of
879 measurement (NRM). In order to achieve this aim, a thorough literature review was
880 undertaken which led to identification of knowledge gaps about the domain. Specifically, it
881 emerged that most mathematical models for embodied energy and CO₂ computations exist in
882 isolation. This work explored and adapted existing computational models based on matrices
883 proposed in the British Standards (BS 2010) to develop a system generalised computation
884 models for embodied energy and CO₂. Models developed by BS (2010) were chosen because
885 they were more encompassing than most existing models. Secondly, the NRM is text-book
886 based, so it was necessary to develop an electronic version that can be automatically
887 called/edited into the proposed system such that the computational results of embodied
888 energy and CO₂ can easily be aligned to it. We opted to re-use an existing ontology from the
889 works developed by Abanda et al. (2015).

890

891 The NRM ontology was mapped to XML codes which loaded in Navisworks and exported to
892 spreadsheet for ease of importation into the proposed system. The system is interfaced with
893 Revit, one of the most popular BIM tool in the construction industry. This means a model
894 needs to be created in Revit and the Revit system has to be left running for the system to

895 work. While Revit is running, the user cannot work on both simultaneously. Once the system
896 is launched the interface is populated with NRM 1. The model in Revit is called in and the
897 building components and quantities or volumes are automatically brought into the system and
898 aligns or maps with the concepts or work-break down structure of NRM 1. The system then
899 uses an in-built density, embodied energy and CO₂ intensities database restructured or
900 adapted from the Bath ICE to computed quantities in kg, and hence embodied energy and
901 CO₂ respectively. The total for each work break down structure can be obtained. Also the
902 columns for unit cost and amount in £ were included to enable comparison of environmental
903 impact of work break-down structure with corresponding cost. This can clearly guide
904 decision makers not to base their decisions only on cost but also to consider environmental
905 impacts. Knowing the environmental impacts of given house components and hence total for
906 work break down structure can guide end users to change the material type in the Revit model
907 so as to achieve a minimum level of environmental impacts of the whole building.

908

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1114 **Appendix**

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1116 **Table 1.** Validation of results

Rule of Measurement Category	Building Element	Component material								Stanard error
			Building 1 (GFA = 84.41 m ²) Quantities (m ³)	Building 2 (GFA = 98.48 m ²) Quantities (m ³)	Building 3 (GFA = 137.03 m ²) Quantities (m ³)	Building 4 (GFA= 187.65 m ²) Quantities (m ³)	Building 5 (GFA = 89.14 m ²) Quantities (m ³)	Building 6 (GFA = 178.72 m ²) Quantities (m ³)	Building 7 (GFA = 268.20 m ²) Quantities (m ³)	Negligible for each building
Standard Foundation	Concrete: Cast In Situ	Concrete: Cast In Situ	12.4	11.73	13.35	40.06	12.43	12.43	12.43	Negligible for each building
Upper Floors	Floor	Wood Sheathing: Chipboard	Not applicable	Not applicable	Not applicable	4.13	Not applicable	2.15	4.23	Negligible for each building
		Structure: Timber Joist/Rafter Layer	Not applicable	Not applicable	Not applicable	42.23	Not applicable	21.95	43.72	Negligible for each building
Stairs and Ramps	Stair	Wood	Not applicable	Not applicable	Not applicable	0.24	Not applicable	0.49	0.99	Negligible for each building
External walls	Wall	Brick: Common	10.63	9.46	21.95	22.42	11.64	22.12	32.54	Negligible for each building
		Concrete Masonry Units	10.38	9.23	21.46	19.88	14.46	27.24	40.1	Negligible for each building
		Fiberglass Batt	7.78	6.92	16.08	15.65	8.98	17.03	25.05	Negligible for each building
		Gypsum Wall	1.30	1.15	2.68	2.41	1.61	2.7	3.97	Negligible for each building

Board_Ext										building
Fittings Furnishes and Equipment	Furniture	Wood-birch	0.13	0.14	0.14	0.14	0.13	0.27	0.4	Negligible for each building
Sanitary Installations	Plumbing Fixtures	Bath tub /WC - Porcelain	0.30	0.62	0.59	0.95	0.30	0.62	0.98	Negligible for each building
Heaters	Mechanical Equipment	Steel – Chrome plated	0.07	0.09	0.09	0.09	0.07	0.12	0.18	Negligible for each building
System extract quantities from all the different NRM 1 concepts (Yes or No)			Yes	Yes	Yes	Yes	Yes	Yes	Yes	
Any mixed up in the extraction and insertion of quantities? (Yes or No)			Initially yes, but code was fixed and no mixed experienced.	No	No	No	No	No	No	

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