

BMJ Open Home-based rehabilitation programme compared with traditional physiotherapy for patients at risk of poor outcome after knee arthroplasty: the CORKA randomised controlled trial

Karen L Barker ¹, Jonathan Room,² Ruth Knight ³, Susan Dutton,³ Francine Toye,⁴ Jose Leal ⁵, Nicola Kenealy,¹ Michael Maia Schlüssel,¹ Gary Collins ⁶, David Beard,¹ Andrew James Price,¹ Martin Underwood ⁷, Avril Drummond ⁸, Sarah Lamb,^{9,10} CORKA Trial group

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For numbered affiliations see end of article.

Correspondence to

Dr Karen L Barker;
Karen.Barker@ouh.nhs.uk

ABSTRACT

Objectives To evaluate whether a home-based rehabilitation programme for people assessed as being at risk of a poor outcome after knee arthroplasty offers superior outcomes to traditional outpatient physiotherapy.

Design A prospective, single-blind, two-arm randomised controlled superiority trial.

Setting 14 National Health Service physiotherapy departments in the UK.

Participants 621 participants identified at high risk of a poor outcome after knee arthroplasty using a bespoke screening tool.

Interventions A multicomponent home-based rehabilitation programme delivered by rehabilitation assistants with supervision from qualified therapists versus usual care outpatient physiotherapy.

Main outcome measures The primary outcome was the Late-Life Function and Disability Instrument (LLFDI) at 12 months. Secondary outcomes were the Oxford Knee Score (a disease-specific measure of function), Knee injury and Osteoarthritis Outcome Score Quality of Life subscale, Physical Activity Scale for the Elderly, 5 dimension, 5 level version of Euroqol (EQ-5D-5L) and physical function assessed using the Figure of 8 Walk test, 30 s Chair Stand Test and Single Leg Stance.

Results 621 participants were randomised between March 2015 and January 2018. 309 were assigned to CORKA (Community Rehabilitation after Knee Arthroplasty) home-based rehabilitation, receiving a median five treatment sessions (IQR 4–7). 312 were assigned to usual care, receiving a median 4 sessions (IQR 2–6). The primary outcome, LLFDI function total score at 12 months, was collected for 279 participants (89%) in the home-based CORKA group and 287 participants (92%) in the usual care group. No clinically or statistically significant difference was found between the groups (intention-to-treat adjusted difference=0.49 points; 95% CI –0.89 to 1.88; p=0.48). There were no statistically significant differences between the groups on any of the patient-reported or physical secondary outcome measures at 6 or 12 months.

Strengths and limitations of this study

- Large sample size and low loss to follow-up which ensured sufficient numbers to make the study sufficiently powered to detect any between group differences.
- The trial was pragmatic and used an innovative workforce model that could readily translate into National Health Service practice within existing commissioning and financial constraints.
- The study offers an alternative service delivery model in line with the latest UK guidance from National Institute for Health and Clinical Excellence.
- Limitations are that the screening tool did not identify patients that were a good fit of risk of poor outcome for the intervention that we developed.
- Another limitation, common to all trials of post-operative physiotherapy after knee arthroplasty was the absence of a no physiotherapy treatment control group (as postdischarge physiotherapy is a core component of UK arthroplasty practice it is not practicable to recruit to a no treatment comparator especially for those identified as being at higher risk of a poor outcome).

There were 18 participants in the intervention group reporting a serious adverse event (5.8%), only one directly related to the intervention, all other adverse events recorded throughout the trial related to underlying chronic medical conditions.

Conclusions The CORKA intervention was not superior to usual care. The trial detected no significant differences, clinical or statistical, between the two groups on either primary or secondary outcomes. CORKA offers an evaluation of an intervention utilising a different service delivery model for this patient group.

Trial registration number ISRCTN13517704.

INTRODUCTION

Knee arthroplasty (KA) operations in the UK continue to rise with the National Joint Registry reporting over 100 000 in 2017 alone.¹ This is an increase of over 3% from 2010. The population receiving surgery is getting older, frailer and with more coexisting health conditions. Data from patient-reported outcome measures (PROMs) have shown that most patients achieve a satisfactory outcome. However, between 10% and 15% are unsatisfied or report little or no improvement after surgery.² We do not yet know how to identify these patients or target rehabilitation to improve their outcomes.

Physiotherapy provision in the UK varies following discharge from hospital after KA but typically patients will continue to have rehabilitation needs to help restore muscle strength and endurance, range of motion, walking distance and performance of higher-level functional activities. Patients are commonly referred for further physiotherapy in an outpatient setting to assist with these issues. The timing of this referral varies, with some hospitals advocating immediate commencement of therapy after discharge from hospital and others waiting until the first postoperative review at around 6 weeks before considering referral. This typically involves between 4 and 6 sessions of physiotherapy in an outpatient clinic setting. Patients do not routinely receive occupational therapy input following knee arthroplasty surgery unless they are highlighted to have particular difficulties with their daily activities or have specific barriers to discharge home. Concern has been raised that many exercise programmes lack adequate intensity to enable optimal recovery.^{3,4} Internationally, where much longer courses of physiotherapy are often provided, research has indicated that 12–18 hours of physiotherapy⁵ or a mean of 17 visits⁶ may be needed to produce a benefit. These levels of care are substantially higher than those provided in the UK and, in the current economic climate, may be more than the National Health Service (NHS) can afford.

Given the rising number of operations, the relatively limited therapy resources available, and the increasing age and frailty of patients receiving this surgery, it is important that rehabilitation resources are concentrated on those patients who need the most help to achieve a good outcome. Not all patients need postoperative outpatient physiotherapy and some will make a full recovery using self-directed rehabilitation.^{7–10} Current rehabilitation strategies do not meet the needs of all patients, particularly those who are socially isolated, do not have easy access to transport, and are frail.¹¹ It is thus pertinent to focus our resources on those who are most likely to be at risk of a poor outcome, least likely to be able to engage with a self-management approach, and able to benefit most from rehabilitation input. To maximise access to therapy and to provide this within a similar cost envelope to current practice we designed an intervention to be delivered in the patients' homes utilising a workforce model that use rehabilitation assistants supported by qualified therapy staff, drawing on the success of this

model in delivering therapy programmes such as the Otago falls prevention intervention.¹²

This trial was carried out to determine if a targeted home-based intervention for those at risk of poor outcome, delivered by a mix of qualified staff and rehabilitation assistants, offers superior outcomes in patient reported and physical function at 1 year following KA compared with traditional outpatient physiotherapy.

METHODS

The CORKA trial was a multicentre prospective, single-blinded, two-arm randomised controlled superiority trial with assessment for the clinical outcomes at baseline, 6 and 12 months. The study was carried out according to the published protocol.¹³

Recruitment

Participants were recruited from 14 NHS Trusts across England. Patients aged 55 years and over due to undergo knee arthroplasty were sent information concerning the trial prior to their preoperative assessment appointment. Those expressing interest were screened in the clinic using a screening tool developed for the trial. This aimed to identify patients at risk of a poorer outcome based on data collected as part of standard pre-operative assessment screening. The tool was developed using all data from the Knee Arthroplasty Trial dataset and further details of its development has been previously published.¹⁴ The screening tool had a range of possible scores from 0 to 10, patients with a score of 5 or more were classified as being at increased risk of a poor outcome after 1 year defined as an Oxford Knee Score (OKS) of 26 or less (online supplemental appendix A). The performance of the model was fair, as measured by its discrimination. Participants who were eligible were screened again post-operatively to confirm their eligibility and consent. All participants provided written informed consent. Exclusion criteria included any major perioperative complications, further surgery planned within the next 12 months and absolute contraindications to exercise. Screening was performed by research clinicians at the local sites, and screening logs recorded the reasons for ineligibility and why eligible patients declined to participate.

Randomisation

Randomisation took place on the third postoperative day, or on hospital discharge if earlier. Should any participant have experienced a severe medical perioperative event they would not be entered into the trial but continue with usual care as able. Participants were randomly allocated by a web-based randomisation system to either 'usual care' or 'home-based rehabilitation programme' in a 1:1 ratio using permuted blocks of various sizes (sizes 2, 4 and 6 in a 1:2:1 ratio, that is on average there were twice as many blocks of size 4 than either size 2 or size 6) to prevent prediction of treatment allocation, and this was stratified by recruitment site. Once randomised,

participants and those delivering the rehabilitation were aware of the treatment allocation due to the nature of the intervention. Those carrying out follow-up outcome measurements remained blinded to treatment allocation.

Interventions

CORKA home-based intervention

The CORKA intervention started up to 4 weeks after surgery, with most participants seen within 2 weeks. In the period between surgery and the initial appointment participants continued with a home exercise programme given to them by ward physiotherapists in line with standard current practice.

The CORKA home-based intervention is a multicomponent rehabilitation programme developed in collaboration with clinicians and patients; it has been described in detail in a previous publication.¹⁵ It consisted of an initial assessment appointment and up to six follow-up sessions. Its aim was to improve the function and participation in activities of participants at risk of a poor outcome after surgery. The primary component of the rehabilitation programme was an individually adapted exercise package conducted in participants' own homes. During the participants' initial assessment, the physiotherapist focused on tasks that were identified as being problematic. The exercise programme was then tailored to the individuals' needs and goals. If an exercise was felt to be unsafe for any particular participant, therapists could omit it and substitute with an additional exercise from the package; or use a more stable starting position. Additional components consisted of functional task practice, adherence approaches such as utilisation of techniques such as goal setting and exercise dairies. If required, they were provided with appropriate aids and equipment,

The physiotherapist undertook the initial assessment and prescription of the exercise programme, observed by the rehabilitation assistant. For future sessions, the rehabilitation assistant continued the programme and modified as required using treatment algorithms and decision aids to progress. The physiotherapist undertook one further session in the middle of the programme to review the participant's progress and their exercise programme. The way this was operationalised is outlined in online supplemental appendix B.

All therapists delivering the CORKA intervention received a training session of 2–3 hours, which included instructions on how to assess and treat CORKA participants, prescribe and progress the different categories within the exercise programme.

Usual care

Those allocated to the usual care arm received standard postoperative physiotherapy. Usual care after surgery could vary considerably across the trial's different UK locations. However, it was highly likely that usual care would include several of the following: between one and six sessions of physiotherapy in an outpatient setting, class-based setting or hydrotherapy; written advice on

home exercises on discharge from hospital; and an assessment of any potential home requirements or barriers to discharge by an occupational therapist. To standardise usual care as much as possible, participants were expected to attend a minimum of 1 session and a maximum of six sessions of usual care physiotherapy.

Quality assurance checks took place at all CORKA research sites. They included fidelity checks during which assessment and treatment delivery were observed. All aspects of the intervention were checked against a predefined fidelity checklist created in line with the study protocol.

Outcomes

Baseline data were collected face to face, no more than 4 weeks before surgery. Follow-up data collection was by face-to-face clinical assessments at 6 and 12 months following randomisation. Where face-to-face assessment was not possible, postal and telephone data collection methods were used to obtain self-reported core data. Baseline data included the Functional Comorbidities index to provide baseline characteristics of the participants.

Primary outcome

The primary outcome was the Late-Life Function and Disability Instrument (LLFDI) overall function score. It was developed specifically to assess change in community-dwelling older adults. It assesses and responds to meaningful change in two distinct outcomes: a person's ability to do discrete actions or activities using a 32-item function component (primary outcome) and a person's performance of socially defined life tasks using a 16-item disability component (secondary outcome).

Secondary outcomes

Secondary outcomes consisted of self-reported and physical measures. The self-reported measures were the OKS, Physical Activity Scale for the Elderly (PASE), Knee Injury and Osteoarthritis Outcome Score (KOOS) Quality of Life subscale and EQ-5D-5L questionnaires. The physical measures were the Figure of 8 Walk Test (F8WT), 30s Chair Stand Test (30SCST) and Single Leg Stance (SLS). A health resource diary collected the exercises undertaken, medication taken, use of healthcare services and personnel, and falls.

Analysis

A detailed statistical analysis plan has previously been published.¹⁶ The LLFDI score was chosen as a clinically relevant outcome for the population and the sample size calculation was therefore based on this variable. As there is no formal minimally clinically important difference for the LLFDI the calculation was based on a moderately small standard effect size of 0.275 which gave a sample of 620 participants (310 per arm) with 90% power, 5% (two-sided) significance, allowing for 10% lost to follow-up.

Two analysis populations were considered: the intention-to-treat (ITT) population and the per-protocol (PP) population. The ITT population included all

randomised participants analysed according to their allocated intervention. The PP population included only participants who received at least one session of their allocated intervention, did not receive more treatment than intended (more than six sessions of usual care or seven sessions of home-based rehabilitation) and provided follow-up data.

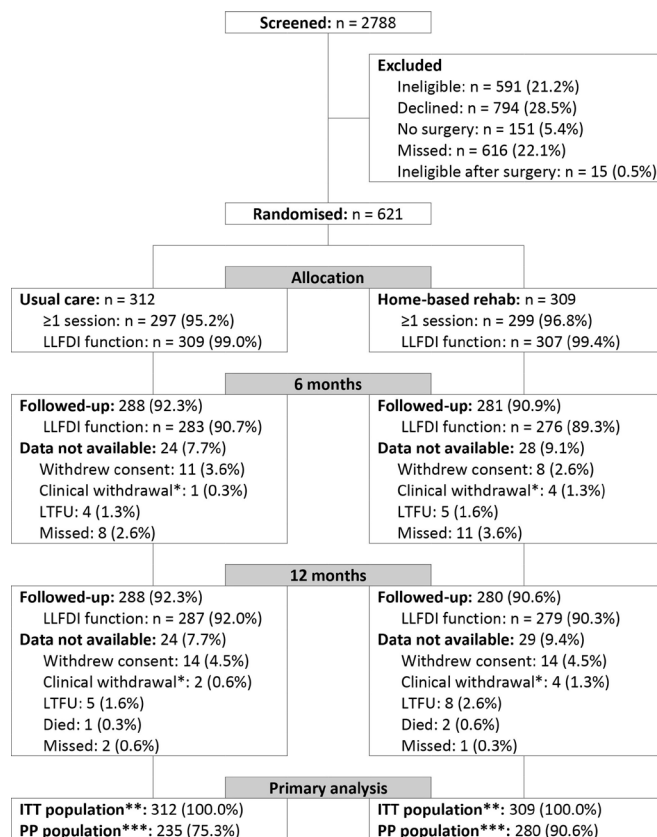
LLFDI function scores at 6 and 12 months postrandomisation were summarised by treatment group and analysed using a linear mixed effects model with repeated measures adjusted for baseline score and recruiting site (stratification factor). Time was treated as categorical and an interaction between the outcome measurement time point and randomised group was included to allow the treatment effect to be estimated at each time point, reported as the adjusted mean difference in LLFDI between groups with 95% CI and associated p value. The underlying assumptions of this model were assessed. A 12-month postrandomisation was considered the primary endpoint. The primary analysis was performed for the ITT population using multiple imputation (MI) to impute missing data. MI was performed separately for each treatment group and the model included type of knee replacement (Total -TKR, Unicompartmental knee replacement UKR), recruiting centre, gender, whether or not the participant had had previous lower limb surgery, Charnley classification score and current mobility support used. As sensitivity analyses this was repeated for the ITT population using available cases, the PP population using available cases and using a complier average causal effect (CACE) analysis.¹⁷

Linear mixed effects models with repeated measures, similar to that described for the primary outcome, were used to analyse each of the secondary PROMs (LLFDI disability limitation, LLFDI disability frequency, OKS, KOOS QoL, PASE, EQ-5D- 5L utility and VAS), 30SCST (number of stands) and F8WT (time and steps). Differences between the two groups in SLS times were compared using a Wilcoxon rank-sum test since the assumptions of the linear mixed effects model were not satisfied. All of these analyses were performed for the ITT population using available cases only. For the key secondary outcomes (LLFDI disability limitation, LLFDI disability frequency, OKS and KOOS QoL), these analyses were repeated for the ITT population using MI (imputation model as outlined for the primary outcome) and the PP population using available cases.

The number and proportion of serious adverse events (SAEs) were reported by treatment group, as well as the number and proportion of participants experiencing an SAE. The rates were compared across treatment groups.

Additional analysis

An economic analysis and nested qualitative analysis were conducted as part of this study. Both economic and qualitative analysis will be reported elsewhere.



*Clinical withdrawals include those who withdrew due to an adverse event, serious adverse event, or medical contraindication

**Missing follow-up data in the intent-to-treat (ITT) population was imputed using multiple imputation for the primary outcome analysis.

***Analysis of the per-protocol (PP) population was based on available data only.

LLFDI: Late Life Function and Disability Instrument; LTFU: lost to follow-up

Figure 1 CONSORT flow chart. CONSORT, Consolidated Standards of Reporting Trials.

Patient and public involvement

The study was developed with a group of service users that identified concern about the access to rehabilitation for older more frail patients after knee arthroplasty. The intervention was developed with active involvement of patient and public involvement (PPI) stakeholders who worked alongside us to develop the intervention. A PPI representative collaborated on the application for funding and was a full voting member of the trial steering group which benefitted from their expertise and active engagement throughout the period of the study.

RESULTS

The CORKA recruited participants between March 2015 and January 2018. A total of 2788 patients were screened for eligibility and 621 participants were randomised, 312 to usual care and 309 to the home based rehabilitation programme. A CONSORT flow chart summarises the flow of participants through the trial including details on the number of participants randomised and the numbers allocated to and receiving at least 1 session of each treatment (see figure 1). Of the 621 participants, 34 (5.5%) withdrew from follow-up during the course of the trial.

Table 1 Descriptive characteristics at baseline by treatment group

	Usual care (n=312)	Home-based rehab (n=309)	Total (n=621)
Gender*			
Male	125 (40.1%)	125 (40.5%)	250 (40.3%)
Female	187 (59.9%)	184 (59.5%)	371 (59.7%)
Age (years)†	70.18 (8.14)	70.67 (8.01)	70.42 (8.07)
BMI‡	31.65 (4.99)	31.34 (4.48)	31.50 (4.74)
Side of operation*			
Right	169 (54.2%)	169 (54.7%)	338 (54.4%)
Left	142 (45.5%)	139 (45.0%)	281 (45.2%)
Not recorded	1 (0.3%)	1 (0.3%)	2 (0.3%)
Knee arthroplasty type*			
TKA	229 (73.4%)	231 (74.8%)	460 (74.1%)
UKA	82 (26.3%)	77 (24.9%)	159 (25.6%)
Not recorded	1 (0.3%)	1 (0.3%)	2 (0.3%)
ASA grade*			
Healthy	38 (12.2%)	55 (17.8%)	93 (15.0%)
Mild systemic disease	218 (69.9%)	202 (65.4%)	420 (67.6%)
Severe systemic disease	43 (13.8%)	44 (14.2%)	87 (14.0%)
Not recorded	13 (4.2%)	8 (2.6%)	21 (3.4%)
Falls in the last year*			
Yes	77 (24.7%)	89 (28.8%)	166 (26.7%)
No	235 (75.3%)	220 (71.2%)	455 (73.3%)
If yes, no of falls‡	1 (1, 3)	2 (1, 3)	2 (1, 3)
Previous lower limb surgery*			
Yes	200 (64.1%)	189 (61.2%)	389 (62.6%)
No	112 (35.9%)	120 (38.8%)	232 (37.4%)
Screening tool score‡	6 (5, 6)	6 (5, 6)	6 (5, 6)
Charnley ABC*			
A—single knee arthroplasty	134 (42.9%)	138 (44.7%)	272 (43.8%)
B—both knees affected	140 (44.9%)	145 (46.9%)	285 (45.9%)
C—multiple joint disease/other disability	38 (12.2%)	26 (8.4%)	64 (10.3%)
Stairs mobility*			
Normal	19 (6.1%)	19 (6.1%)	38 (6.1%)
One step at a time	34 (10.9%)	39 (12.6%)	73 (11.8%)
Down with rail	18 (5.8%)	19 (6.1%)	37 (6.0%)
Up/down with rail	225 (72.1%)	216 (69.9%)	441 (71.0%)
Unable down	2 (0.6%)	3 (1.0%)	5 (0.8%)
Unable	14 (4.5%)	12 (3.9%)	26 (4.2%)
Missing	0 (0.0%)	1 (0.3%)	1 (0.2%)
Support mobility*			
None	178 (57.1%)	178 (57.6%)	356 (57.3%)
Stick outdoors	83 (26.6%)	78 (25.2%)	161 (25.9%)
Stick always	34 (10.9%)	31 (10.0%)	65 (10.5%)
Two sticks	6 (1.9%)	7 (2.3%)	13 (2.1%)
Two crutches	5 (1.6%)	7 (2.3%)	12 (1.9%)
Walking frame	6 (1.9%)	8 (2.6%)	14 (2.3%)
Functional Comorbidity Index§			
0	189 (60.6%)	176 (57.0%)	365 (58.8%)
1–3	112 (35.9%)	125 (40.5%)	237 (38.2%)

Continued

Table 1 Continued

	Usual care (n=312)	Home-based rehab (n=309)	Total (n=621)
4–6	8 (2.6%)	6 (1.9%)	14 (2.3%)
7+	2 (0.6%)	0 (0.0%)	2 (0.3%)
Missing	1 (0.3%)	2 (0.6%)	3 (0.5%)

*These values are reported as numbers and percentages.

†These values are reported as means and SD.

‡These values are reported as medians and IQR.

§The Functional Comorbidity Index (85) counts the number of comorbidities experienced by each patient, giving more weight to more severe conditions. This was categorised in four groups: (1) no comorbidities (0), (2) small number of comorbidities,^{1–3} (3) medium number of comorbidities^{4–6} and (4) high number of comorbidities (7+).

ASA, American Society of Anesthesiologists; BMI, body mass index; TKA, total knee arthroplasty; UKA, unicompartmental knee arthroplasty.

Three participants died between the 6 and 12 months follow ups.

The mean age of participants was 70.4 (SD 8.07), 59.7% were female and mean BMI was 31.5 kg/m² (SD 4.74). Most participants scored 5 or 6 on the screening tool (79.5%) with 5 being the minimum score needed to be eligible for the study and indicating a risk of poor outcome. The baseline characteristics of the participants is summarised in table 1.

Participants were defined as complying with usual care if they attended at least one treatment session and for home-based rehabilitation at least four treatment sessions. We used different thresholds for the two treatments as usual care varied considerably between sites including as little as one session. Therefore, compliance in this arm was defined as participants receiving at least one session. For the intervention arm, it was prespecified that at least four of the seven planned sessions were required to be compliant. Therapy compliance was 95.2% in usual care and 87.1% for the CORKA intervention. The median number of sessions for usual care was 4 (IQR 2–6) and for the CORKA intervention was 5 (IQR 4–7).

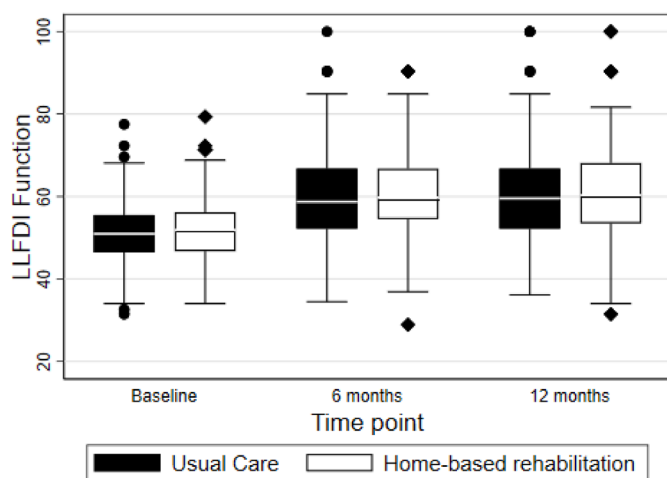


Figure 2 The LLFDI function score from baseline to 12 months postrandomisation for each treatment group. LLFDI, Late-Life Function and Disability Instrument.

Primary outcome analysis

For the ITT analysis using MI there was no statistically significant difference between the two treatment groups at the primary time point of 12 months postrandomisation (adjusted difference 0.49, 95% CI –0.89 to 1.88) or at 6 months (adjusted difference 0.66, 95% CI –0.70 to 2.03). This equates to a standardised effect size of 0.03 at 12 months.

Trends in LLFDI function scores over time are plotted for each treatment group in figure 2. There was a substantial improvement between baseline and 6 months in both groups, with minimal additional difference between 6 and 12 months postrandomisation.

None of the sensitivity analyses conducted (ITT using available cases, PP analysis, CACE analysis) demonstrated a different result.

Secondary outcome analysis

For the secondary PROMS ITT analysis using available cases, no significant differences between the two treatment groups at either time point (6 and 12 months) was identified for any of the secondary outcomes (see table 2). The sensitivity analyses of the key secondary PROMS identified only one difference, of borderline statistical significance, for the LLFDI disability limitation at 6 months using the ITT population and MI (adjusted difference 2.67, 95% CI 0.14 to 5.19).

The physical measures are summarised by treatment group over time in table 3. With the exception of one of the SLS tests, there were no differences in change in performance between the groups on the physical measures.

The total number of SAEs experienced in this trial was low; 18 events in 14 participants in the usual care group (4.5%) and 20 events in 18 participants in the CORKA intervention group (5.8%), experienced. Adverse events were independently reviewed and categorised using the System Organ Classes codes and as related to the intervention or not. For all SAEs, only one was classified as ‘possibly related’. In total, there were 7 SAEs in the musculoskeletal and connective tissue disorder category, with one categorised as related to the treatment interventions (table 4). The majority of the SAEs were related to

Table 2 Comparison of treatment groups for secondary outcomes (intention-to-treat population using available cases)

		Usual care		Home-based rehab		Adjusted difference (95% CI)	P value
		Mean (SD)	Total	Mean (SD)	Total		
LLFDI disability (frequency)	Baseline	51.28 (7.25)	311	51.55 (7.46)	308	–	–
	6 months	54.27 (6.86)	283	54.91 (6.85)	275	0.64 (–0.50 to 1.78)	0.27
	12 months	54.13 (6.87)	287	55.05 (6.87)	279	0.93 (–0.21 to 2.06)	0.11
LLFDI disability (limitation)	Baseline	66.26 (11.96)	312	66.25 (12.48)	305	–	–
	6 months	72.33 (15.25)	284	74.72 (15.24)	275	2.39 (–0.14 to 4.92)	0.06
	12 months	74.10 (15.27)	287	74.75 (15.28)	277	0.65 (–1.87 to 3.18)	0.61
OKS	Baseline	20.59 (7.50)	312	20.81 (7.31)	308	–	–
	6 months	35.69 (7.69)	284	36.23 (7.69)	277	0.54 (0.73 to 1.81)	0.40
	12 months	37.34 (7.71)	287	37.80 (7.71)	278	0.46 (–0.81 to 1.73)	0.48
KOOS – QoL subscale	Baseline	25.50 (16.58)	301	25.50 (15.89)	300	–	–
	6 months	61.26 (22.31)	273	62.47 (22.29)	269	1.21 (–2.55 to 4.97)	0.53
	12 months	65.53 (22.37)	277	65.26 (22.36)	271	–0.27 (–4.02 to 3.47)	0.89
PASE	Baseline	121.24 (73.90)	302	115.11 (72.95)	297	–	–
	6 months	150.62 (66.72)	259	149.41 (66.46)	245	–1.22 (–12.86 to 10.42)	0.84
	12 months	156.58 (66.82)	263	154.63 (66.73)	251	–1.95 (–13.51 to 9.61)	0.74
EQ5D-5L utility	Baseline	0.52 (0.22)	308	0.52 (0.23)	308	–	–
	6 months	0.75 (0.18)	277	0.75 (0.18)	275	0.00 (–0.03 to 0.03)	0.85
	12 months	0.76 (0.18)	282	0.76 (0.18)	278	–0.00 (–0.03 to 0.03)	0.99
EQ5D-5L VAS	Baseline	68.53 (19.46)	312	68.03 (19.13)	308	–	–
	6 months	75.81 (14.55)	283	75.51 (14.54)	275	–0.30 (–2.71 to 2.11)	0.81
	12 months	76.80 (14.57)	287	77.05 (14.58)	278	0.24 (–2.16 to 2.65)	0.84

Totals refer to the total number of participants in each group that provided each score at each time point. Adjusted differences were calculated using linear mixed effects models adjusted for stratification factors and baseline scores.

Each LLFDI disability scale ranges from 0 to 100 with higher scores indicating less disability.

Scores range from 0 to 48 with higher scores indicating better knee function.

Scores range from 0 to 100 with higher scores indicating better QoL. PASE, higher scores indicate greater levels of physical activity.

EQ5D-5L utility scores range from –0.594 to 1 and EQ5D-5L VAS ranges from 0 to 100, in both cases higher scores indicate better QoL.

KOOS, Knee injury and Osteoarthritis Outcome Score; LLFDI, Late-Life Function and Disability Instrument; OKS, Oxford Knee Score; PASE, Physical Activity Scale for the Elderly; QoL, quality of life; VAS, Visual Analogue Scale.

medical conditions unconnected with the participant's surgery and were classified into categories such as cardiac disorders, renal and urinary disorder or immune system disorders. There was not a significant difference in the number of participants experiencing an SAE between the two groups (risk difference 1.3%, 95% CI –2.1% to 4.8%).

DISCUSSION

No statistical or clinically meaningful differences were found between CORKA and usual outpatient physiotherapy. Outcomes across a range of measures were closely matched irrespective of which of the two interventions was received. The use of a workforce model using rehabilitation assistants offered flexibility of staffing and did not result in any increase in adverse events.

Strengths and limitations of the study

Strengths of this study include the large sample size and low lost to follow-up which ensured sufficient numbers to make the study sufficiently powered to detect any between group differences. The trial was pragmatic and utilised an innovative workforce model that could readily translate

into NHS practice within existing commissioning and financial constraints. The study offers an alternative service delivery model in line with the latest UK guidance from National Institute for Health and Clinical Excellence (NICE).

Limitations are that the screening tool did not identify patients that were a good fit of risk of poor outcome for the intervention that we developed. The screening tool has not been validated; but uses items that are used by many knee arthroplasty trials to determine entry, these are broad and inclusive, reflecting common clinical practice. Recent recommendations from the UK NICE noted that it would be useful if a tool existed that indicated those who would benefit from supervised rehabilitation and recognised that there is a significant proportion of patients to whom this will apply.¹⁸ In developing our screening tool for the trial, we attempted just this, but recognise that there was a poor match between the patients screened and the intervention that we developed. Many of our participants were borderline high risk scoring just above the cut-point of 5, resulting in fewer participants at higher risk than anticipated, which may have affected the results.

**Table 3** Comparison of physical measures between treatment groups at follow-up time points

	Usual care (n=312)		Home-based rehab (n=309)		Adjusted difference (95% CI)	P value
	Summary	N	Summary	N		
30SCST # stands*						
Baseline	8.2 (3.5)	312	8.4 (3.7)	309	–	–
6 months	11.1 (3.1)	278	11.0 (3.1)	275	–0.06 (-0.548 to 0.46)	0.82
12 months	11.7 (3.1)	279	11.5 (3.1)	276	–0.22 (-0.74 to 0.3)	0.41
F8WT (seconds)*						
Baseline	11.8 (5.4)	312	12.1 (4.9)	309	–	–
6 months	10.2 (3.6)	277	9.6 (3.6)	275	–0.57 (-1.17 to 0.04)	0.07
12 months	9.3 (3.6)	280	9.1 (3.6)	274	–0.17 (-0.78 to 0.43)	0.58
F8WT steps*						
Baseline	16.4 (4.5)	312	17.3 (5.0)	309	–	–
6 months	15.5 (3.2)	277	15.2 (3.2)	275	–0.36 (-0.91 to 0.18)	0.19
12 months	15.2 (3.2)	280	14.9 (3.3)	274	–0.23 (-0.77 to 0.31)	0.40
KA leg SLS average (seconds)*						
Baseline	5.3 (2.6, 13.0)	310	4.5 (1.9, 14.5)	308	–	–
6 months	10.0 (3.9, 30.3)	279	10.0 (3.6, 26.8)	276	–	0.57
12 months	13.3 (5.9, 31.1)	280	11.7 (3.4, 31.1)	276	–	0.14
Contralateral leg SLS average† (seconds)						
Baseline	7.2 (3.6, 22.0)	310	6.3 (2.6, 18.0)	308	–	–
6 months	10.9 (4.2, 30.8)	279	9.8 (3.5, 29.7)	276	–	0.43
12 months	14.7 (5.6, 30.7)	280	11.0 (3.2, 29.7)	276	–	0.03

Totals refer to the total number of participants in each group that provided each score at each time point. 30SCST, which records the number of stands in 30s and where higher scores indicate better function. F8WT, where a quicker time and fewer steps indicates better function. SLS, in which stance time is averaged over three trials at standing on the same leg, with a maximum score of 45s and higher scores indicate better function

*Summaries are mean (SD).

†Summaries are median (IQR).

F8WT, Figure of 8 Walk Test; 30SCST, 30 s Chair Stand Test; SLS, Single Leg Stance.

Another limitation, common to all trials of post-operative physiotherapy after knee arthroplasty, was the absence of a control group. As outpatient physiotherapy

remains an accepted and expected core component of UK arthroplasty practice it was not deemed ethical or practicable to attempt to recruit to a no treatment comparator in a group who had already been identified as at higher risk of a poor outcome. As with most physiotherapy trials, it was not feasible to blind patients or therapists to treatment allocation. We also chose to deliver an intervention that met current commissioning guidance of around six sessions. It is arguable that more intensive treatment as is common in other countries may have been more effective, but this is not supported by studies using longer interventions.^{19–24}

We chose to use the LLFDI as our primary outcome over a more knee focused outcome as it is designed to map to the WHO ICF and assess change in community-dwelling older adults encompassing the range of activities relevant for older, potentially frailer patients after arthroplasty. At the time of designing the trial the minimal clinically important difference (MCID) was not published. Subsequently, Beauchamp *et al* reported that substantial changes are reflected in a 5-point change in the overall function scale.²⁵ We found substantial changes from baseline to 1 year within both groups, but no between group effect. The CORKA home-based intervention showed an overall change of 8.9 points and usual care 8.7 points,

Table 4 SOC codes of SAEs by treatment group

	Usual care (n=14; 4.5%)	Home-based rehab (n=18; 5.8%)
Blood/lymphatic	2	2
Cardiac	2	1
Endocrine	1	0
Gastrointestinal	2	2
Immune system	0	1
Infections/infestations	1	3
Musculoskeletal	1	6
Nervous system	0	1
Renal/urinary	2	0
Respiratory/thoracic	0	1
Skin	1	0
Social circumstances	1	0
Vascular	1	0
Unknown	0	1

SAEs, serious adverse events; SOC, System Organ Classes.

indicating that the combined effect of surgery and rehabilitation unsurprisingly produced a highly significant improvement in function. Most of this improvement was probably due to the surgical procedure, but we cannot disaggregate the contribution of physiotherapy to quantify the contribution of one without the other. We found no significant between group difference. It is arguable that a more specific outcome measure targeted at knee arthroplasty may have been a better choice. We included the OKS as a secondary measure to address this potential limitation. The results of the LLFDI and OKS were well matched.

Implications for patients and policy

The benefit of postoperative physiotherapeutic interventions is poorly established. A recent evidence review by NICE, of all patients receiving arthroplasty surgery, found no clinically important differences in quality of life, PROMS or functional outcomes between group or individually based supervision and self-directed rehabilitation. They recommended self-directed rehabilitation as an acceptable option for people who are well enough and have personal circumstances that make it possible; but recognised that there are likely to be subgroups who will benefit from a more supervised rehabilitation programme. The CORKA trial is one of few trials to specifically target individuals who are at risk of poor outcome post surgery. The TRIO trial²⁶ also targeted physiotherapy at patients considered at risk of poor outcome, based on OKS at 6 weeks. Similar to this study they found no statistical differences between the two treatment arms of outpatient physiotherapy and a single physiotherapy review and home exercise based regimen.

Although we screened for patients deemed at risk of poor outcome the OKS of 37.8 points was higher than the reported average for all knee arthroplasty patients at 1 year of 36 points. The TRIO trial similarly found their results, although a little lower, were similar to the unselected average.²⁶ Most of this improvement was probably due to the surgical procedure, but we cannot disaggregate the contribution of physiotherapy to quantify the contribution of one without the other.

The CORKA intervention was based on preoperative outcome measures, with a home-based rehabilitation programme. There may also be benefits to home supervised rehabilitation that are not captured by the current evidence or the outcome measures used in existing studies. For example, participants in our embedded qualitative study were very positive about the CORKA home-based intervention and the supervision provided by the therapists and rehabilitation assistants.²⁷ The CORKA home-based intervention could also benefit, for example, those too frail to travel a significant distance to a larger hospital. Using the CORKA combination of qualified therapists and rehabilitation assistants might be a practical option for certain remote areas of the UK or countries that have large geographical areas not covered by therapists. This suggests that there is an argument for

choice, according to personal preference or the practicalities of service provision.

It also addresses the workforce shortage issue by using an innovative workforce model of advanced rehabilitation assistants, moving UK service provision closer to that which has been proven to be effective in North America, where the use of physical therapy assistant graded staff is well embedded. This is a particular concern given both the projected increased need for joint arthroplasty over the next decade to accommodate an ageing population and the pressure of potential reductions in NHS funding. Evaluating the value of treatment modalities offered to these patients is crucial because many more patients are being discharged home earlier from the acute setting leaving less time available for acute physical recovery, rehabilitation and education in hospital, increasing the potential burden of care for these patients and their families.

Future research

Further research should focus on developing a screening tool that is more sensitive in identifying those patients who will benefit from additional input beyond self-directed rehabilitation as recommended by the NICE guidance on primary joint replacement.¹⁸

The CORKA home-based intervention was delivered by rehabilitation assistants supervised by qualified therapists in a ratio of 5:2 sessions. Given the workforce challenges in the NHS further work looking at different workforce models and interventions solely using rehabilitation assistants should be explored.

CONCLUSIONS

This large randomised controlled trial found no important differences in outcomes when rehabilitation was delivered using either a home based, rehabilitation assistant delivered rehabilitation package or a traditional outpatient physiotherapy model. Home-based rehabilitation was feasible but did not confer benefits over standard out patient physiotherapy.

Author affiliations

¹Nuffield Department of Orthopaedics, Rheumatology and Musculoskeletal Sciences, University of Oxford, Oxford, UK

²Nuffield Orthopaedic Centre, Oxford University Hospitals NHS Foundation Trust, Oxford, UK

³Oxford Clinical Trials Research Unit (OCTRU), Centre Statistics in Medicine, University of Oxford, Oxford, Oxfordshire, UK

⁴Nuffield Orthopaedic Centre Physiotherapy Research Unit, Oxford University Hospitals NHS Foundation Trust Nuffield Orthopaedic Centre, Oxford, UK

⁵Nuffield Department of Population Health, University of Oxford, Oxford, UK

⁶Centre for Statistics in Medicine, University of Oxford, Oxford, UK

⁷Warwick Medical School, Warwick University, Coventry, UK

⁸Div of Rehabilitation and Ageing, University of Nottingham, Nottingham, UK

⁹College of Medicine and Health, University of Exeter, Exeter, UK

¹⁰NDORMS, University of Oxford, Oxford, UK

Twitter Avril Drummond @AvrilDrummond1

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Hospital), Helen Wilson (Countess of Chester Hospital), Christian Brookes, Denise Hill (Dorset County Hospital), Susan Dowdle, Hazel Burt (Dorset HealthCare Hospital), Jane Harrison (Epsom Hospital), Sarah Adcock, Justine Theaker (Manchester Royal Infirmary), Gladys Nadar Arulmani (Medway Community Hospital), Sunil Jain (Medway Maritime Hospital), Mike Reed (North Tyneside General Hospital), Jonathan Room (Oxford University Hospitals), Genevieve Simpson, Gemma Knight (Royal United Hospitals Bath), Tricia Monroe (Moorgreen Hospital), Gareth Stephens, Sarah Rich (Royal Orthopaedic Hospital Birmingham).

Collaborators CORKA Study team Leon Palmer-Wilson, Ana Glennon (Ashford Hospital), Helen Wilson (Countess of Chester Hospital), Christian Brookes, Denise Hill (Dorset County Hospital), Susan Dowdle, Hazel Burt (Dorset HealthCare Hospital), Jane Harrison (Epsom Hospital), Sarah Adcock, Justine Theaker (Manchester Royal Infirmary), Gladys Nadar Arulmani (Medway Community Hospital), Sunil Jain (Medway Maritime Hospital), Mike Reed (North Tyneside General Hospital), Jonathan Room (Oxford University Hospitals), Genevieve Simpson, Gemma Knight (Royal United Hospitals Bath), Tricia Monroe (Moorgreen Hospital), Gareth Stephens, Sarah Rich (Royal Orthopaedic Hospital Birmingham).

Contributors KLB (professor of physiotherapy)—chief Investigator, led funding application, trial conception and design, development of interventions, supervision, and writing and reviewing report. JR (research physiotherapist)—principal investigator, development of intervention and writing and reviewing report. RK (statistician)—conducting statistical analysis and writing and reviewing report. SD (statistician)—designing and conducting statistical analysis, and writing and reviewing report. FT (qualitative lead)—conducting interviews and analysis, and writing chapter for report. JL (health economist)—designing and conducting economic analysis, supervision, and writing and reviewing report. NK (trial manager)—trial management and writing and reviewing report. MMS (statistician)—screening tool development and writing for report. GC (coapplicant)—supervised screening tool development. DB (coapplicant)—clinical trials expertise and contributed to report. AJP (coapplicant)—surgical expertise and contributed to report. MU (coapplicant)—primary care expertise and contributed to report. AD (coapplicant)—occupational therapy expertise and contributed to report. SL (coapplicant)—clinical trials expertise, key member of trial management group, and writing and reviewing report.

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Provenance and peer review Not commissioned; externally peer reviewed.

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ORCID iDs

Karen L Barker <http://orcid.org/0000-0001-9363-0383>
Ruth Knight <http://orcid.org/0000-0001-6810-2845>
Jose Leal <http://orcid.org/0000-0001-7870-6730>
Gary Collins <http://orcid.org/0000-0002-2772-2316>
Martin Underwood <http://orcid.org/0000-0002-0309-1708>
Avril Drummond <http://orcid.org/0000-0003-1220-8354>

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CORKA Screening Tool

Study code:	Site ID code:	Participants Study Number*:	Initials:													
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* Add in once Participant has been randomised

DOB: ___/___/___ Principal Investigator: _____ Date ___/___/___

Participant Screening Number (number given when participant originally screened) CSN _____

From pre-admission clinic, complete the following:

- | | SCORE
<i>(Please Circle)</i> |
|--|---------------------------------|
| 1. What is the participant's Body Mass Index (BMI)? | |
| Normal weight (<25kg/m ²) | 0 |
| Overweight (25-29.9kg/m ²) | 1 |
| Obese (≥30kg/m ²) | 2 |
| 2. From Q1 of the Oxford Knee Score (OKS) questionnaire;
<i>'How would you describe the pain you <u>usually</u> have from your knee?'</i> | |
| None OR very mild OR mild | 0 |
| Moderate OR severe | 4 |
| 3. What is the participant's health status? | |
| Fit and healthy with mild systemic disease i.e. controlled hypertension/diabetes | 0 |
| Severe systemic disease i.e. unstable coronary heart disease | 2 |
| 4. In the past four weeks have you been limited in the kind of work you do or other regular activities you carry out as a result of feeling anxious or depressed? | |
| None of the time OR a little of the time OR some of the time | 0 |
| Most of the time OR all of the time | 2 |

TOTAL:

For patients with a score of 5 or more, continue to screen for eligibility onto the study.

For patients with a score below 5, stop the screening process and record this in the screening log.

Appendix B – content of treatment Interventions

The CORKA home-based intervention was a multicomponent rehabilitation programme delivered to participants in their own home by a mixture of qualified staff and rehabilitation assistants. Its main component was an exercise programme, but it also included functional task practice, adherence approaches and provision of appropriate aids or equipment. It consisted of an initial appointment and 6 follow up sessions.

Initial appointment – assessment

The subjective assessment included their current symptoms and the perceived level of pain or discomfort on a VAS scale from 0-10 with 0 being ‘no symptoms’ and 10 the ‘worse imaginable symptoms’, their social history, any medication taken, their history of falls and their activities of daily living (ADLs).

The objective assessment documented the participant’s wound, the range of movement in the operated and non-operated knees, strength of quadriceps, hamstrings, hip abductors and the calf muscles, scored on an Oxford grading scale. Standing balance was assessed by observing and recording the ability to stand with feet side by side, or in a semi-tandem or tandem stance. An assessment was made of gait and if appropriate ability to ascend and descend stairs. If deemed necessary and safe any transfers were assessed.

Finally, observation of at least one functional task took place which was identified by the participant as being potentially problematic. The exercise programme was subsequently tailored to meet the specific needs, goals and functional problems of the individual.

Initial appointment – exercise programme

Following the assessment the physiotherapist and rehabilitation assistant set each participant an exercise programme, which would replace any post-operative programme given on discharge from hospital. It would consist of exercises categorised into the following sections :

1. Knee range of motion - flexion
2. Knee range of motion – extension
3. Basic quadriceps strengthening
4. Strengthening exercises – quadriceps
5. Strengthening exercises – hamstrings
6. Strengthening exercises – hip abductors
7. Strengthening exercises – calf
8. Static balance exercises
9. Dynamic balance and walking skills exercises

The selection of each specific exercise took place as follows, for ROM exercises the physiotherapist selected an exercise and then checked that they and the participant were happy with how it was performed. If this was the case the participant was asked to perform 3 sets of 10-15 repetitions, unless a stretch had been selected, when they were asked to undertake 3 sets of 3-5 repetitions with a 30 to 60 second hold.

For strengthening exercises the participant was given an exercise that they could perform for 6-12 repetitions with good form at a moderate intensity. Perceived intensity was measured using the 10 point Rating of Perceived Exertion (RPE) scale (Borg 1998). The participant was given an exercise

where they feel they were working at RPE of 3-4 (moderate to somewhat hard). Participants were asked to complete 1 to 3 sets of 6-12 reps of strengthening exercises.

For the balance section, participants were prescribed an exercise that was sufficiently challenging without putting the participant at risk of falling. Participants were asked to perform 3 repetitions of the exercise with a 30 second hold.

For the final section, gait skills there were a variety of exercises to choose from. The physiotherapist was asked to prescribe an exercise that was sufficiently challenging without putting the participants at risk of falling. The exact number of sets and reps were determined based on the particular exercise selected and the individual patient.

During session 3 a graduated walking programme was introduced. Participants were asked about the maximum time or distance that they have managed to walk since surgery and were encouraged to increase this distance or time gradually in small increments.

Participants were also asked to practice between 1-3 specific functional tasks highlighted in the initial assessment.

CORKA intervention exercise prescription time points


	<u>Range of Movement</u>	<u>Basic Quads strength</u>	<u>Strengthening</u>	<u>Balance</u>	<u>Gait Skills</u>	<u>Task practice</u>	<u>Walking programme</u>
<u>Session 1</u>	✓	✓	✓	✓	✓	✓	
<u>Session 2</u>	✗	✗	↑	↑	↑	↑	
<u>Session 3</u>	✗	✗	↑	↑	↑	↑	✓
<u>Session 4</u>	✗	✗	↑	↑	↑	↑	↑
<u>Session 5</u>	✗	✗	↑	↑	↑	↑	↑
<u>Session 6</u>	✗	✗	↑	↑	↑	↑	↑
<u>Session 7</u>	✗	✗	↑	↑	↑	↑	↑

✓ = issue exercise






✗ = Stop exercise once appropriate level of function reached








↑ = Progress exercise

CORKA Intervention Exercises Table






Exercise	Level	Purpose	Functional relevance
Hip and knee flexion 	1A	Lower limb range of movement	Walking, stair climbing, kneeling
Passive knee flexion 	1B	Lower limb range of movement	Walking, stair climbing, sitting and standing up from a chair, kneeling
Active assisted knee flexion 	1C	Lower limb range of movement	Walking, stair climbing, sitting and standing up from a chair, kneeling
Hold-relax knee flexion 	1D	Lower limb range of movement	Walking, stair climbing, sitting and standing up from a chair, kneeling
Active Knee flexion 	1E	Lower limb range of movement	Walking, stair climbing, sitting and standing up from a chair, kneeling
Hip and knee flexion with overpressure 	1F	Lower limb range of movement	Walking, stair climbing, kneeling
Knee extension stretch 	2A	Lower limb range of movement	Walking, stair climbing, standing up from a chair

Knee extension with overpressure		2B	Lower limb range of movement	Walking, stair climbing, standing up from a chair
Knee extension		2C	Lower limb range of movement	Walking, stair climbing, standing up from a chair, dressing, getting in/out of car
Hamstring stretch		2D	Lower limb range of movement	Walking, stair climbing, dressing
Calf stretch		2E	Lower limb range of movement	Walking, stair climbing, dressing
Static Quadriceps		3A	Lower limb strength	Walking, stair climbing, standing up from a chair
Inner range quadriceps		3B	Lower limb strength	Walking, stair climbing, standing up from a chair
Long arc quadriceps		4A	Lower limb strength	Walking, stair climbing, standing up from a chair

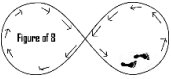

<p>Knee extension with ankle weight</p> 	4B	Lower limb strength	Walking, stair climbing, standing up from a chair
<p>Sit to stand using arms</p> 	4C	Upper and lower body strength	Walking, stair climbing, standing up from a chair, lifting/carrying household objects, getting in/out of car
<p>Sit to stand without arms</p> 	4D	Lower limb and trunk strength	Stair climbing, standing up from a chair, walking, getting in/out of car
<p>Wall slide</p> 	4E	Lower limb strength	Stair climbing, standing up from a chair, walking, getting in/out of car, lifting household objects
<p>Mini Squats</p> 	4F	Lower limb strength	Stair climbing, standing up from a chair, walking, getting in/out of car, lifting household objects

Single leg dip 	4G	Lower limb strength	Stair climbing, standing up from a chair, walking, lifting household objects
Static hamstrings 	5A	Lower limb strength	Stair climbing, standing up from a chair, walking, lifting household objects
Sliding heel backwards 	5B	Lower limb strength and range of movement	Stair climbing, standing up from a chair, walking, kneeling
Standing hamstring curls 	5C	Lower limb strength	Stair climbing, standing up from a chair, walking, lifting household objects
Active hamstrings 	5D	Lower limb strength	Stair climbing, standing up from a chair, walking, lifting household objects
Standing hamstring curls with ankle weight 	5E	Lower limb strength	Stair climbing, standing up from a chair, walking, lifting household objects
Lunge 	5F	Lower limb strength	Stair climbing, standing up from a chair, walking, lifting household objects

Hip abduction 	6A	Lower limb strength	Walking, dressing, getting into/out of bed
Bridging 	6B	Lower limb strength	Dressing, walking, standing up from a chair
Hip hitching 	6C	Lower limb strength	Walking, dressing, getting into/out of bed
Standing hip abduction 	6D	Lower limb strength	Walking, dressing, getting into/out of bed, standing from a chair
Standing hip abduction with ankle weight 	6E	Lower limb strength	Walking, dressing, getting into/out of bed, standing from a chair
Short lever hip abduction in side lying 	6F	Lower limb strength	Walking, dressing, getting into/out of bed
Long lever hip abduction in side lying 	6G	Lower limb strength	Walking, dressing, getting into/out of bed
Hip abduction in side lying with ankle weight 	6H	Lower limb strength	Walking, dressing, getting into/out of bed

Bilateral calf raise		7A	Lower limb strength	Walking and stair climbing
Single calf raise		7B	Lower limb strength	Walking and stair climbing
Standing feet together		8A	Balance	Falls Prevention
Semi-tandem stance		8B	Balance	Falls prevention
Tandem stance		8C	Balance	Falls Prevention
Single leg stance		8D	Balance	Falls Prevention

<p>Walking on the spot</p> 	9A	Walking skills and balance	Walking and falls prevention
<p>Waling forwards and backwards</p> 	9B	Walking skills and balance	Walking a straight path and falls prevention
<p>Side stepping</p> 	9C	Walking skills and balance	Walking, stepping around obstacles, falls prevention
<p>Step ups</p> 	9D	Walking skills and balance	Walking, stepping onto kurbs, stair climbing, falls prevention
<p>Mini lunge step up</p> 	9E	Walking skills and balance	Walking, stepping onto kurbs, stair climbing, falls prevention
<p>Turning</p> 	9F	Walking skills and balance	Walking with aid and falls prevention

<p>Figure of 8 walk</p> 	9G	Walking skills and balance	Walking both straight and curved paths, falls prevention
<p>Tandem walking</p> 	9H	Walking skills and balance	Walking and falls prevention

Usual Care

Participants randomised to usual care underwent standard in and out-patient post-operative physiotherapy as it was delivered routinely at each of the 14 research sites.

On discharge participants were given general written advice following knee arthroplasty and a home exercise programme to continue with until they were seen by an out-patient physiotherapist. To standardise usual care, participants would receive at least 1 session of physiotherapy and up to a maximum of 6 sessions which aligns with what the NHS can realistically provide. The exact duration and content of the physiotherapy sessions were at the treating physiotherapist's discretion, but in general would be between 30 and 60 minutes duration and would include a combination of range of motion and strengthening exercises, gait re-education with progression of walking aids and advice. Any differences seen in usual care across the sites is reflective of the variety of standard care delivered post knee arthroplasty across the UK.

Photographs

[All participants consented to the use of their image in the treatment guide](#)